Persistence
Abstractions
Resource management (isolation; efficiency)

Virtualization (CPU, memory)
Concurrency
Interaction with Devices

Disks and Persistence

Store data users care about:
- persist beyond reboots
- Backing store for paging

Space multiplexing (coexist)

Shared view of storage!

Permissions
Intelligence in software, mostly
Motivation

What good is a computer without any I/O devices?
- keyboard, display, disks

We want:
- **H/W** that will let us plug in different devices
- **OS** that can interact with different combinations
Filesystems

Abstractions over blocks of data

Flat mapping of name to blocks? E.g. hash table

Something a bit more flexible: A hierarchy

creat(), open(),
read(), write(),
mkdir(), readdir(), ...
link(), unlink()
Filesystems

Why are file systems useful?
- Durability across restarts
- Naming and organization
- Sharing among programs and users

Why interesting?
- Crash recovery
- Performance
- API design for sharing
- Security for sharing
- Abstraction is useful: pipes, devices,
  - /proc, /afs, etc.
Filesystems

API example -- UNIX/Posix/Linux/xv6/&c:

- fd = open("x/y", -);
- write(fd, "abc", 3);
- link("x/y", "x/z");
- unlink("x/y");

- Plan 9 OS (Bell labs) - Attempts to structure entire OS as a filesystem

Questions for filesystems

• What **on-disk structures** to represent files and directories?
  • Contiguous, Extents, Linked, FAT, Indexed, Multi-level indexed
  • Which are good for different **metrics**?

• What disk **operations** are needed for:
  • make directory
  • open file
  • write/read file
  • close file
FS Implementation

1. On-disk structures
   - how does file system represent files, directories?

2. Access methods
   - what steps must reads/writes take?
Part 1: Disk Structures
Persistent Store

Given: large array of blocks on disk
Want: some structure to map files to disk blocks
Similarity to Memory?

Same principle:
map logical abstraction to physical resource

Logical View: Address Spaces

Physical View
On-Disk Structures

- data block
- inode table
- indirect block
- directories
- data bitmap
- inode bitmap
- superblock
FS Structs: Empty Disk

|   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |
| 0 | 7 |
| 16 | 23 |
| 32 | 39 |
| 48 | 55 |
| 8 | 15 |
| 16 | 23 |
| 32 | 39 |
| 48 | 55 |

Assume each block is 4KB
Data Blocks

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</tbody>
</table>
One Inode Block

Each inode is typically 256 bytes (depends on the FS, maybe 128 bytes)

4KB disk block

16 inodes per inode block.
<table>
<thead>
<tr>
<th>Field</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>type (file or dir?)</td>
<td>The type of the file or directory</td>
</tr>
<tr>
<td>uid (owner)</td>
<td>The user ID (owner)</td>
</tr>
<tr>
<td>rwx (permissions)</td>
<td>The read, write, execute permissions</td>
</tr>
<tr>
<td>size (in bytes)</td>
<td>The size of the file in bytes</td>
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<tr>
<td>Blocks</td>
<td>The number of data blocks</td>
</tr>
<tr>
<td>time (access)</td>
<td>The time of the last access</td>
</tr>
<tr>
<td>ctime (create)</td>
<td>The time of creation</td>
</tr>
<tr>
<td>links_count (# paths)</td>
<td>The number of paths</td>
</tr>
<tr>
<td>addrs[N] (N data blocks)</td>
<td>The data blocks</td>
</tr>
</tbody>
</table>
Inodes

```
0  7

16 23

32 39

48 55
```
Inode

Assume single level (just pointers to data blocks)

What is max file size?
Assume 256-byte inodes (all can be used for pointers)
Assume 4-byte addrs

How to get larger files?

256 / 4 = 64
64 * 4K = 256 KB!
Indirect blocks are stored in regular data blocks. 

what if we want to optimize for small files?
Better for small files
Inode

type
uid
rwx
size
Blocks (optional)
time
ctime
links_count
direct_ptr[N]
indirect_ptr[N+X]
//Some stat structure
Assume 256 byte inodes (16 inodes/block).
What is offset for inode with number 0?
Assume 256 byte inodes (16 inodes/block). What is offset for inode with number 4?

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</tbody>
</table>
Assume 256 byte inodes (16 inodes/block). What is offset for inode with number 40?

<table>
<thead>
<tr>
<th>Inode</th>
<th>Offset</th>
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</thead>
<tbody>
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</table>
File Organization: The inode

- Each inode is referred to by inode number.
  - by inode number, File system calculate where the inode is on the disk.
  - Ex: inode number: 32
    - Calculate the offset into the inode region (32 x sizeof(inode) (256 bytes) = 8192
    - Add start address of the inode table(12 KB) + offset into inode region = 20 KB
Directories

File systems vary

Common design:
- Store directory entries in data blocks
- Large directories just use multiple data blocks
- Use bit in inode to distinguish directories from files

Various formats could be used
- lists
- b-trees
Simple Directory List Example

<table>
<thead>
<tr>
<th>valid</th>
<th>name</th>
<th>inode</th>
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</thead>
<tbody>
<tr>
<td>1</td>
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<td>134</td>
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<tr>
<td>1</td>
<td>..</td>
<td>35</td>
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<tr>
<td>1</td>
<td>foo</td>
<td>80</td>
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<tr>
<td>1</td>
<td>bar</td>
<td>23</td>
</tr>
</tbody>
</table>

unlink("foo")
Hard links and Soft (symbolic) links

Hard Link:

- A hard link acts as a copy (mirrored) of the selected file. It accesses the data available in the original file.
- If earlier selected file is deleted, the hard link to the file will still contain the data of that file.

```
ln /path/to/source /path/to/link
```

Soft Link:

- A soft link (also known as symbolic link) acts as a pointer or a reference to the file name. It does not access the data available in the original file. If the earlier file is deleted, the soft link will be pointing to a file that does not exist anymore.

```
ln -s /path/to/source /path/to/link
```
Allocation

How do we find free data blocks or free inodes?

Free list

Bitmaps

Tradeoffs!
Bitmaps?

```
0 7
16 23
32 39
48 55
8 15
24 31
40 47
56 63
```
Opportunity for Inconsistency

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(Need file system checking)
Superblock

Need to know basic FS configuration metadata, like:
- block size
- # of inodes

Store this in superblock
Superblock – Real FS (also FUSE)

Struct superblock{
    start address of inode bitmap
    start address of data block bitmap
    start address of inode region
    start address of data block region
    //Anything else that is required
}

Superblock
On-Disk Structures

- Super Block
- Data Block
  - directories
  - indirects
- Inode Table
- Data Bitmap
- Inode Bitmap
Part 2 : Operations

- create file
- write
- open
- read
- close

How do they affect the data structures in the filesystem?
create /foo/bar

<table>
<thead>
<tr>
<th>data</th>
<th>inode</th>
<th>root</th>
<th>foo</th>
<th>bar</th>
<th>root</th>
<th>foo</th>
</tr>
</thead>
<tbody>
<tr>
<td>bitmap</td>
<td>bitmap</td>
<td>inode</td>
<td>inode</td>
<td>inode</td>
<td>data</td>
<td>data</td>
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</table>

What needs to be read and written?
open /foo/bar

<table>
<thead>
<tr>
<th>data bitmap</th>
<th>inode bitmap</th>
<th>root inode</th>
<th>foo inode</th>
<th>bar inode</th>
<th>root data</th>
<th>foo data</th>
<th>bar data</th>
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<td>read</td>
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</tbody>
</table>
write to `/foo/bar` (assume file exists and has been opened)

<table>
<thead>
<tr>
<th>data bitmap</th>
<th>inode bitmap</th>
<th>root inode</th>
<th>foo inode</th>
<th>bar inode</th>
<th>root data</th>
<th>foo data</th>
<th>bar data</th>
</tr>
</thead>
<tbody>
<tr>
<td>read</td>
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<td>write</td>
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</tbody>
</table>
### read /foo/bar – assume opened

<table>
<thead>
<tr>
<th>data bitmap</th>
<th>inode bitmap</th>
<th>root inode</th>
<th>foo inode</th>
<th>bar inode</th>
<th>root data</th>
<th>foo data</th>
<th>bar data</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>read</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>read</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>write</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>read</td>
</tr>
</tbody>
</table>
close /foo/bar

<table>
<thead>
<tr>
<th>data</th>
<th>inode</th>
<th>root</th>
<th>foo</th>
<th>bar</th>
<th>root</th>
<th>foo</th>
<th>bar</th>
</tr>
</thead>
<tbody>
<tr>
<td>bitmap</td>
<td>bitmap</td>
<td>inode</td>
<td>inode</td>
<td>inode</td>
<td>inode</td>
<td>data</td>
<td>data</td>
</tr>
</tbody>
</table>

nothing to do on disk!
Efficiency

How can we avoid this excessive I/O for basic ops?

Cache for:
- reads
- write buffering
Write Buffering

Why does procrastination help?

Overwrites, deletes, scheduling

Shared structs (e.g., bitmaps+dirs) often overwritten.

We decide: how much to buffer, how long to buffer…
- tradeoff durability vs. performance
How to allocate file data to disk blocks?
Allocation Strategies

Many different approaches

- Contiguous
- Extent-based
- Linked
- File-allocation Tables
- Indexed
- Multi-level Indexed

Questions

- Amount of fragmentation (internal and external)
  - freespace that can’t be used
- Ability to grow file over time?
- Performance of sequential accesses (contiguous layout)?
- Speed to find data blocks for random accesses?
- Wasted space for meta-data overhead (everything that isn’t data)?
  - Meta-data must be stored persistently too!
Contiguous Allocation

Allocate each file to contiguous sectors on disk

- Meta-data: Starting block and size of file
- OS allocates by finding sufficient free space
  - Must predict future size of file; Should space be reserved?
- Example: IBM OS/360

| A | A | A | B | B | B | B | C | C | C |

Fragmentation (internal and external)?
- Horrible external frag (needs periodic compaction)

Ability to grow file over time?
- May not be able to without moving

Seek cost for sequential accesses?
+ Excellent performance

Speed to calculate random accesses?
+ Simple calculation

Wasted space for meta-data?
+ Little overhead for meta-data
Small # of Extents

Allocate multiple contiguous regions (extents) per file
- Meta-data: Small array (2-6) designating each extent
  Each entry: starting block and size

Fragmentation (internal and external)?
- Helps external fragmentation

Ability to grow file over time?
- Can grow (until run out of extents)

Seek cost for sequential accesses?
+ Still good performance

Speed to calculate random accesses?
+ Still simple calculation

Wasted space for meta-data?
+ Still small overhead for meta-data
Linked Allocation

Allocate linked-list of **fixed-sized** blocks (multiple sectors)

- Meta-data:
  - Location of first block of file
  - Each block also contains pointer to next block
- Examples: TOPS-10, Alto

Fragmentation (internal and external)?
Ability to grow file over time?
Seek cost for sequential accesses?
Speed to calculate random accesses?
Wasted space for meta-data?

- + No external frag (use any block);
  - internal?
  + Can grow easily
- +/- Depends on data layout
- - Ridiculously poor
- - Waste pointer per block

Trade-off: Block size (does not need to equal sector size)
File-Allocation Table (FAT)

Variation of Linked allocation
- Keep linked-list information for all files in on-disk FAT table
- Meta-data: Location of first block of file
  - And, FAT table itself

Draw corresponding FAT Table?
Comparison to Linked Allocation
- Same basic advantages and disadvantages
- Disadvantage: Read from two disk locations for every data read
- Optimization: Cache FAT in main memory
  - Advantage: Greatly improves random accesses
  - What portions should be cached? Scale with larger file systems?
Indexed Allocation

Allocate fixed-sized blocks for each file

- Meta-data: Fixed-sized array of block pointers
- Allocate space for ptrs at file creation time

Advantages
- No external fragmentation
- Files can be easily grown up to max file size
- Supports random access

Disadvantages
- Large overhead for meta-data:
  - Wastes space for unneeded pointers (most files are small!)
Multi-Level Indexing

Variation of Indexed Allocation
• Dynamically allocate hierarchy of pointers to blocks as needed
• Meta-data: Small number of pointers allocated statically
  • Additional pointers to blocks of pointers
  • Examples: UNIX FFS-based file systems, ext2, ext3

Comparison to Indexed Allocation
• Advantage: Does not waste space for unneeded pointers
  – Still fast access for small files
  – Can grow to what size??
• Disadvantage: Need to read indirect blocks of pointers to calculate addresses (extra disk read)
  – Keep indirect blocks cached in main memory
Flexible # of Extents

Modern file systems:
Dynamic multiple contiguous regions (extents) per file

- Organize extents into multi-level tree structure
  - Each leaf node: starting block and contiguous size
  - Minimizes meta-data overhead when have few extents
  - Allows growth beyond fixed number of extents

<table>
<thead>
<tr>
<th>Question</th>
<th>Answer</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fragmentation (internal and external)?</td>
<td>+ Both reasonable</td>
</tr>
<tr>
<td>Ability to grow file over time?</td>
<td>+ Can grow</td>
</tr>
<tr>
<td>Seek cost for sequential accesses?</td>
<td>+ Still good performance</td>
</tr>
<tr>
<td>Speed to calculate random accesses?</td>
<td>+/- Some calculations depending on size</td>
</tr>
<tr>
<td>Wasted space for meta-data?</td>
<td>+ Relatively small overhead</td>
</tr>
</tbody>
</table>
Assume Multi-Level Indexing

Simple approach

More complex file systems build from these basic data structures
Summary/Future

We’ve described a very simple FS.
- basic on-disk structures
- the basic ops

Future questions:
- how to handle crashes?
Summary

Using multiple types of name provides
- convenience
- efficiency
Mount and link features provide flexibility.

Special calls (fsync, rename) let developers communicate special requirements to file system.