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## Natural Language Generation (NLG)

Outline

The practical NLG pipeline Descriptions in NLG Generating referring expressions (GRE) GRE and the grammar Broader context of NLG

#### In practice, NLG systems work the way we can build them.

They solve a specific, carefully-delineated task.

They can verbalize only specific knowledge. They can verbalize it only in specific, often quite stereotyped ways.

# In practice, NLG systems work the way we can build them.

That means start with available input and the desired output, and putting together something that maps from one to the other. Any linguistics is a bonus.

Any formal analysis of computation is a bonus.

#### Input can come from ...

- Existing database (e.g., tables) Format facilitates update, etc.
- An interface that allows a user to specify it (e.g., by selecting from menus)
- Language interpretation

#### For Example

Input: Rail schedule database.

Current train status.

#### User query

When is the next train to Glasgow?

Output:

There are 20 trains each day from Aberdeen to Glasgow. The next train is the Caledonian express; it leaves Aberdeen at 10am. It is due to arrive in Glasgow at 1pm, but arrival may be slightly delayed.

#### To get from input to output means selecting and organizing information

The selection and organization typically happens in a *cascade* of processes that use special *data structures* or *representations* 

Each makes explicit a degree of selection and organization that the system is committed to. Indirectly, each indicates the degree of selection and organization the system has still to create.





#### Message

A *mess age* represents a piece of information that the text should convey, in domain terms.





#### A close variant

- *Q*: When is the next train to New Brunswick?
- A: It's the 7:38 Trenton express.

I know something about the domain in this case – and can highlight how nonlinguistic the domain representation will be.

### Variant message message-id: msg03 relation: NEXT-SERVICE arguments: station-stop: STATION-144 train: TRAIN-3821 The next train to New Brunwick is the Trenton Local.



### How I got domain knowledge

NY Penn Station really is NJT Station 000, New Brunswick really is Station 144 (you have to key this into ticket machines!) This really is train #3821 (it's listed with this number on the schedule!)

#### Text Plan

A *text plan* represents the *argument* that the text should convey; it is a hierarchical structure of interrelated messages.







#### **Example Sentence Plan**

(S1/be :subject (NEXT-SERVICE/it) :object (TRAIN-3821/express

:object (TRAIN-3821/express :modifier Trenton :modifier 7:38 :status definite))

It's the 7:38 Trenton express.

#### We know what's happened

*Aggregation*: we have constructed a single sentence that realizes *two* messages.

Once we have the first message: It's the Trenton express. We just add 7:38 to realize the second message: It's the 7:38 Trenton express.

#### We know what's happened

*Referring expression generation*: we have figured out to realize the *next-service* as *it*, and figured out to identify the train by its *destination* and *frequency of stops*.

#### We know what's happened

Lexical (and grammatical) choice:

to use the verb *be* with *it* as the subject and a reference to the train second;

- to say *express* rather than *express train*.
- to say Trenton rather than Northeast Corridor.

## But there's no consensus method for how to do it.

- Reiter (1994, survey of 5 NLG systems): Most practical systems follow a pipeline, even though this makes some things difficult to do. *Example:* Avoidance of ambiguity
- Cahill et al. (1999, survey of 18 NLG systems): Tasks like *Aggregation* and *GRE* can happen almost anywhere in the system, e.g.,
  - as early as Content Planning
  - as late as Sentence Realization

## But there's no consensus method for how to do it.

And we'll see that *formal* and *computational* questions raise important difficulties for what representations you can have what processes and algorithms you can use how you bring knowledge of language into the loop



### This is easier to think about

We all know what a surface text looks like!

And we all know you *have* to have a grammar (of some kind or other) to get one!

#### **Our Question This Week**

What are the possible ways of using *knowledge (of the world and of language)* in formulating an utterance?

#### Knowledge in utterances

Knowledge of the *world* Utterance says something *useful* and *reliable*.

Knowledge of *language* Utterance is *natural* and *concise*, in other words, it fits *hearer* and *context*.









#### Our Question This Week

What are the possible ways of using *knowledge* (of the world and of language) in formulating an utterance?

This is a *formal* question; the answers will depend on the *logics* behind grammatical information and real-world inference.

## The NLG problem depends on the input to the system

If the input looked like this:

INPUT: turn'(handle', locked')

Deriving the output would be easy:

**OUTPUT**: Turn handle to locked position.





#### Difference in Organization

Input: *deictic* representation for objects

through atomic symbols that index a flat database handle(object388). number(object388, "16A46164-1"). composedOf(object388,steel). color(object388,black). goal(object388,activity116). partOf(object388,object486).

Output: des criptions for objects

through complex, hierarchical structures NP – DET – the - N′ – N – handle

## Why we have to *invent* ways of describing things

- 1. The referent has a familiar name, but it's not unique, e.g., 'John Smith'
- 2. The referent has no familiar name: trains, furniture, trees, atomic particles, ...

( In such cases, databases use **database keys**, e.g., *'Smith*\$73527\$', *'TRAIN-3821'* )

3. Similar: sets of objects

#### Formal problem

NLG means applying input domain knowledge that looks quite different from output language!

### Formal problem

How can we characterize these different sources of information

in a common framework

as part of a coherent model of language use

For example: how can we represent linguistic distinctions that make choices in NLG good or bad?

#### Our Question This Week

What are the possible ways of using *knowledge (of the world and of language)* in formulating an utterance?

This is not just a mathematical question -

This is a *computational* question; possible ways of using knowledge will be *algorithms*.

## No Simple Strategy to Resolve Differences

Lots of variability in natural instructions Lift assembly at hinge. Disconnect cable from receptacle. Rotate assembly downward. Slide sleeve onto tube. Push in on poppet.

#### Strategy Must Decide When to Say More

Using utterance interpretation as a whole



Turn handle by hand-grip from current open position for handle 48 degrees clockwise to locked position for handle.





from current open position for handle 48 degrees clockwise to locked position for handle.

## In particular, hearer applies knowledge of the domain

So omit inevitable features of action

OPEN



Turn handle by hand-grip from current open position for handle 48 degrees clockwise to locked position for handle.

### Computational problem

Because this process is so complex, it takes special effort to specify the process, to make it effective, and ensure that it works appropriately.

For example: How much search is necessary? How can we control search when search is required? What will such a system be able to say?

#### Descriptions in NLG Overview

An NLG system is given as *input* some *domain representations* that need to be presented to the user.

The system has to formulate an *output* utterance that will get this information across *linguistically*.

#### Descriptions in NLG: Overview

A *description* is a linguistic expression whose interpretation accesses a domain representation drawn from context.

#### The flexibility of description.

The *semantics* of a description is a linguistic representation.

But its *interpretation* involves a *resolution* that can link meaning up with domain representations *arbitrarily*.

#### Example

Description: *the mug*. Semantics: mug(x)Presents an object x = m211 to the user Interpretation: mug(m211)

Because you use *inference* and *substitution* to compute the value m211 for x, the only formal constraint required in the model is that the value of x is a term in your domain representation language.

#### Example

Description:

slide the sleeve onto the elbow.

Semantics:

 $slide(a,s,p) \land sleeve(s) \land onto(p,e) \land elbow(e)$ Presents an *action a* to the user.

## This is where flexibility becomes important

Description:

slide the sleeve onto the elbow.

Semantics:

 $slide(a,s,p) \land sleeve(s) \land onto(p,e) \land elbow(e)$ Interpretation can access whatever *independent representation of a* the system has:

a = step5

a = displace(s13, vector(-1, 0, 0))

 $a = slide(s13, \ path(at(j13),on(e13)))$ 

#### Description in NLG: Overview

The key task of the generator is now to *construct* a semantics with the *right interpretation*.

#### Example

Input: Present an object *x* = *m*211 to the user Output description: *the mug*. *Constructed* semantics: *mug*(*x*) Derived interpretation: *mug*(*m*211)

#### Declarative programming

Allows us to use a grammar in NLG to construct syntax and semantics for an output sentence simultaneously.

#### Good design:

generation happens in one place easy extension of routines that build semantics impossible for semantics to crash realization













### What is the best description?

One that fulfills the Gricean maxims. (Quality:) list properties truthfully (Quantity:) list sufficient properties to allow hearer to identify referent – but not more (Relevance:) use properties that are of interest in themselves (Manner:) be brief

(Dale & Reiter 1995)

#### Why obey the maxims?

Violation of a maxim leads to *implicatures*. For example,

- [Quantity] 'the pitbull' (when there is only one dog).
- [Manner] 'Get the cordless drill that's in the toolbox' (Appelt).



#### Formalized in a KB

- <u>Type</u>: furniture (**abcde**), desk (**ab**), chair (**cde**)
- Origin: Sweden (ac), Italy (bde)
- <u>colors</u>: dark (ade), light (bc), grey (a)
- Price: 100 (ac), 150 (bd) , 250 ({})
- <u>Contains</u>: wood ({}), metal ({**abcde**}), cotton(**d**)

Assumption: all this is shared knowledge.



## Problem: characterizing the maxims correctly

Missing implicatures:

- [Manner] 'the red chair' (when there is only one red object in the domain).
- [Manner/Quantity] 'I broke my arm' (when I have two).

(empirical work shows much redundancy)

– [Quality] 'the man with the martini' (Donellan) etc.

#### A computational apprach

Make choices heuristically

by a procedure that generally yields descriptions that are interpreted as intended

### Incremental approach

Properties are considered in a fixed order:  $P = P_1, P_2, P_3, ..., P_n$ called preference order.

A property is included if it is 'useful': true of target; false of some distractors Stop when done; so preferred properties have a greater chance of being included.

#### Formal setup

r = individual to be described

- **P** = list of properties, in preference order
- *P* is a property
- L= properties in generated description

#### $L := \Phi$

C := Domain For all  $P \in P$  do : If  $r \in [[P]]$  & C  $\not\subset$  [[P]] then do L := L  $\cup$  {P} C := C  $\cap$  [[P]] If C = {r} then Return L Return Failure P = < furniture (abcde), desk (ab), chair (cde), Swedish (ac), Italian (bde), dark (ade), light (bc), grey (a), 100\$ ({ac}), 150\$(bd), 250\$ ({}), wooden ({}), metal (abcde), cotton ({d}) > Domain = {a,b,c,d,e}. Now describe: a = <...>



#### Incremental Algorithm

**d** = <...>

e = <...>

It's a *hillclimbing* algorithm: ever better approximations of a successful description.

'Incremental' means no backtracking.

Not always the *minimal* number of properties.

#### Incremental Algorithm

Logical completeness: A unique description is found in finite time *if there exists one*. (Given reasonable assumptions, see van Deemter 2002)

Computational complexity: Assume that testing for usefulness takes *constant time*. Then worst-case time complexity is  $O(n_p)$  where  $n_p$  is the number of properties in **P**.

#### Using more domain knowledge (D&R 1995)

Attribute + Value model: Properties grouped together: origin: Sweden, Italy, ... color: dark, grey, ...

Pick attributes in order. Optimize within properties based on that attribute.

#### Incremental Algorithm, using Attributes and Values

- r = individual to be described
- A = list of Attributes, in preference order

*Def:* = Value i of Attribute j 
$$V_{i,j}$$

• L= properties in generated description

$C := Domain$ For all $A_i \in A$ do : $V_{i,j} = \boxed{\text{Find B estValue } (r, A_i)}$ If $r \in [[V_{i,j}]]$ & $C \not\subset [[V_{i,j}]]$ then do $L := L \cup \{V_{i,j}\}$ $C := C \cap [[V_{i,j}]]$ If $C = \{r\}$ then Return L Return Failure	$L := \Phi$
For all $A_i \in A$ do : $V_{i,j} = Find B estValue (r, A_i)$ If $r \in [[V_{i,j}]] \& C \not\subset [[V_{i,j}]]$ then do $L := L \cup \{V_{i,j}\}$ $C := C \cap [[V_{i,j}]]$ If $C = \{r\}$ then Return L Return Failure	C := Domain
$V_{i,j} = Find B estValue (r, A_i)$ If $r \in [[V_{i,j}]] \& C \not\subset [[V_{i,j}]]$ then do $L := L \cup \{V_{i,j}\}$ $C := C \cap [[V_{i,j}]]$ If $C = \{r\}$ then Return L Return Failure	For all $A_i \in A$ do :
If $r \in [[V_{i,j}]]$ & $C \not\subset [[V_{i,j}]]$ then do $L := L \cup \{V_{i,j}\}$ $C := C \cap [[V_{i,j}]]$ If $C = \{r\}$ then Return L Return Failure	$V_{i,j} = Find B estValue (r, A_i)$
$\begin{split} L &\coloneqq L \cup \{V_{i,j}\}\\ C &\coloneqq C \cap [[V_{i,j}]]\\ \text{If } C &= \{r\} \text{ then Return } L\\ \text{Return Failure} \end{split}$	If $r \in [[V_{i,j}]]$ & $C \not\subset [[V_{i,j}]]$ then do
$C := C \cap [[V_{i,j}]]$ If C = {r} then Return L Return Failure	$L\coloneqq L\cup\{V_{i,j}\}$
If C = {r} then Return L Return Failure	$C := C \cap [[V_{i,j}]]$
Return Failure	If $C = \{r\}$ then Return L
	Return Failure



- If there's still a tie, select an arbitrary one

#### Example: $D = \{a, b, c, d, f, g\}$

- <u>Type</u>: furniture (abcd), desk (ab), chair (cd)
- <u>Origin</u>: Europe (bdfg), USA (ac), Italy (bd)

Describe a: {desk, American}

- (*furniture* removes fewer distractors than *desk*)
- Describe **b**: {desk, European} (*European* is more general than *Italian*)
- N.B. This disregards relevance, etc.

# Complexity of the algorithm

- $n_d = nr. of distractors$
- $n_1 = nr. of properties in the description$
- $n_v = nr. of Values (for all Attributes)$
- Alternative assessment:  $O(n_v)$ (Worst-case running time) According to D&R:  $O(n_d n_l)$ (Typical running time)

#### Minor complication: Head nouns

Another way in which human descriptions are nonminimal

- A description needs a *Noun*, but not all properties are expressed as *Nouns*
- Example: Suppose <u>color</u> was the most-preferred Attribute, and target = a

#### • <u>colors</u>: dark (ade), light (bc), grey (a)

- <u>Type</u>: furniture (**abcde**), desk (**ab**), chair (**cde**)
- Origin: Sweden (ac), Italy (bde)
- Price: 100 (ac), 150 (bd), 250 ({})
- <u>Contains</u>: wood ({}), metal ({**abcde**}), cotton(**d**)

target = a
Describe a: {grey}
'The grey'? (Not in English)

#### D&R's repair:

- Assume that Values of the Attribute <u>Type</u> can be expressed in a *Noun*.
- After the core algorithm:
- check whether <u>Type</u> is represented.
  if not, then add the best Value of the <u>Type</u> Attribute to the description

#### GRE and surface realization

#### Arguably, GRE uses a grammar.

- Parameters such as the *preference order* on properties reflect knowledge of how to communicate effectively.
- Decisions about *usefulness* or *completeness* of a referring expression reflect beliefs about *utterance interpretation*.

Maybe this is a good idea for NLG generally.



### GRE and surface realization

We also need to link this up with surface form:

the brown Swedish desk

Note: not



?the Swedish brown desk

#### Observations

- It's *hard* to do realization on its own mapping from semantics to surface structure.
- It's *easy* to combine GRE and realization because GRE *is* grammatical reasoning! if you have a good representation for syntax.

### Why it's hard to do realization

A pathological grammar of adjective order:

 $NP \rightarrow the N(w)$ .  $N(w) \rightarrow w N(w')$  if w is an adjective and wRw'.  $N(w) \rightarrow w$  if w is a noun.



#### Realization, formally

You start with k properties. Each property can be realized lexically. assume: one noun, many adjectives (not that it's easy to enforce this)

Realization solution: NP which realizes *each property exactly once*.

#### Quick formal analysis

View problem graph-theoretically:

k words, corresponding to vertices in a graph
R is a graph on the k words
Surface structure is a *Hamiltonian path*(which visits each vertex exactly once)
through *R*.

This is a famous NP complete problem So surface realization itself is intractable!

#### Moral of the example

Semantics underdetermines syntactic relations.

Here, semantics underdetermines syntactic relations of adjectives to one another and to the head.

Searching for the correspondence is hard. See also Brew 92, Koller and Striegnitz 02.

#### Observations

- It's *hard* to do realization on its own mapping from semantics to surface structure.
- It's *easy* to combine GRE and realization because GRE *is* grammatical reasoning! if you have a good representation for syntax.

### Syntactic processing for GRE

#### Lexicalization

Steps of grammatical derivation correspond to meaningful choices in NLG.

E.g., steps of grammar are synched with steps of adding a property to a description.











Tree rewriting:

- Each lexical item is associated with a structure.
- You have a starting structure.
- You have ways of combining two structures together.



## An extended incremental algorithm

- r = individual to be described
- P = lexicon of entries, in preference order *P* is an individual entry *sem*(*P*) is a property or set of entries from the context *syn*(*P*) is a syntactic element
- L = surface syntax of description

#### Extended incremental algorithm

 $L := NP \downarrow$  C := DomainFor each  $P \in P$  do: If  $r \in sem(P) \& C \not\subset sem(P)$ Then do L := add(syn(P), L)  $C := C \cap sem(P)$ If  $C = \{r\}$  then return L Return failure

#### Observations

Why use tree-rewriting - not, e.g. CFG derivation?

NP  $\rightarrow$  the N(*w*). N(*w*)  $\rightarrow$  *w* N(*w'*) if *w* is an adjective and *wRw'*. N(*w*)  $\rightarrow$  *w* if *w* is a noun.

CFG derivation forces you to select properties in the surface word-order.







#### Formal results

#### Logical completeness.

If there's a *flat* derivation tree for an NP that identifies referent *r*, *Then* the *incremental algorithm* finds it.

#### But

Sensible combinations of *properties* may *not* yield surface *NPs*.

*Hierarchical* derivation trees may require *lookahead* in usefulness check.

# Now, though, we're choosing specific lexical entries maybe these lexical items express the same property...





### Need to extend grammar again

 P = lexicon of entries, in preference order
 *P* is an individual entry
 *sem*(*P*) is a property or set of entries from the
 context
 *syn*(*P*) is a syntactic element
 *prags*(*P*) is a test which the context must satisfy for
 the entry to be appropriate





#### Discussion: What does this entry do?

syn: | it

sem: thing(x)
prags: in-focus(x)

#### Suggestion: find best value

#### Given:

- A set of entries that combine syntactically with *L* in the same way
- Related by semantic generality and pragmatic specificity.
- Current distractors

Take entries that remove the most distractors Of those, take the most semantically general Of those, take the most pragmatically specific

#### Extended incremental algorithm

 $L := NP \downarrow \quad C := Domain$ Repeat  $Choices := \{ P : add(syn(P), L) \text{ at next node} \\ \& r \in sem(P) \& prags(P) \text{ is true } \}$  P := find best value(Choices) L := add(syn(P), L)  $C := C \cap sem(P)$ If  $C = \{r\}$  then return L
Return failure

#### What is generation anyway?

Generation is *intentional (or rational) action* that's why Grice's maxims apply, for example.

You have a goal You build a plan to achieve it (& achieve it economically in a recognizable way) You carry out the plan

#### In GRE...

The *goal* is for hearer to know the identity of *r* (in general g)

The *plan* will be to utter some NP Usuch that the *interpretation of U identifies* { r } (in general  $c \cap u \subseteq c \cap g$ )

Carrying out the plan means realizing this utterance.

#### In other words

GRE amounts to a process of deliberation.

- *Adding a property to L incrementally* is like *committing to an action*.
  - These commitments are called *intentions*. *Incrementality* is characteristic of intentions – though in general intentions are open to *revision*.
- Note: this connects with *belief-desireintention models of bounded rationality*.



#### NLG as (BDI) rational agency

 $L := X \downarrow$ C := Initial Interpretation while (P := FindBest(P, C, L)) { L := AddSyntax(syn(P), L) C := AddInterpretation(sem(P), C) if GoalSatisfied(C) return L }

fail

#### Example

Description: slide the sleeve onto the elbow. Semantics:  $slide(a,s,p) \land sleeve(s) \land onto(p,e) \land elbow(e)$ Contribution:

Pragmatics:

 $imp(a) \wedge the(s) \wedge the(e)$ 

do(a)

#### Example

Interpret

 $slide(a,s,p) \land sleeve(s) \land onto(p,e) \land elbow(e)$ by proving it in the context finding possible values for *a*, *s*, *p*, and *e* 

Interpretation is successful if there's only one value each for *a*, *s*, *p*, and *e* 



## Solving generation tasks with declarative descriptive NLG

Lexical choice:

Key challenge: good lexical choice achieves multiple goals (Elhadad et al 1997)

#### Example (Elhadad et al 1997)

Desired output: AI <u>requires</u> six assignments Multiple goals: Class *c* (AI) involves stuff *a* (assignments) The stuff *a* represents a significant demand Match contributions of *require* 

### Lexical choice

- Accurate lexical choice depends on declarative conceptual and linguistic specifications for lexical items assessment of contribution of items to interpretation
  - => declarative descriptive NLG.

## Solving generation tasks with declarative descriptive NLG

#### "Aggregation"

Organize complex sentences that present multiple domain representations to user. E.g., Dalianis 1996

#### Example

Two things to present: do(step5), pur pose(step5, goal6) Extend slide the sleeve onto the elbow To slide the sleeve onto the elbow to uncover the fuel-line sealing-ring.



