CS 534 Spring 2005: A. Elgammal Rutgers University

## CS 534: Computer Vision Segmentation II Graph Cuts and Image Segmentation

Spring 2005
Ahmed Elgammal
Dept of Computer Science
Rutgers University

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#### **Outlines**

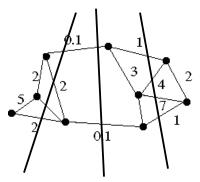
- · What is Graph cuts
- Graph-based clustering
- Normalized cuts
- Image segmentation using Normalized cuts
- Other Cuts

### **Graph Cut**

What is a Graph Cut:

- We have undirected, weighted graph G=(V,E)
- Remove a subset of edges to partition the graph into two disjoint sets of vertices *A*,*B* (two sub graphs):

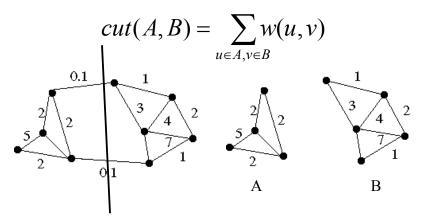
$$A \cup B = V$$
,  $A \cap B = \Phi$ 



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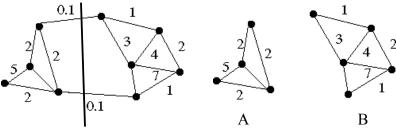
## **Graph Cut**

• Each cut corresponds to some cost (cut): sum of the weights for the edges that have been removed.



### **Graph Cut**

- In many applications it is desired to find the cut with minimum cost: *minimum cut*
- Well studied problem in graph theory, with many applications
- There exists efficient algorithms for finding minimum cuts



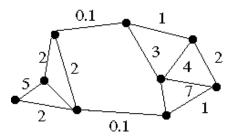
$$cut(A,B) = \sum_{u \in A, v \in B} w(u,v)$$

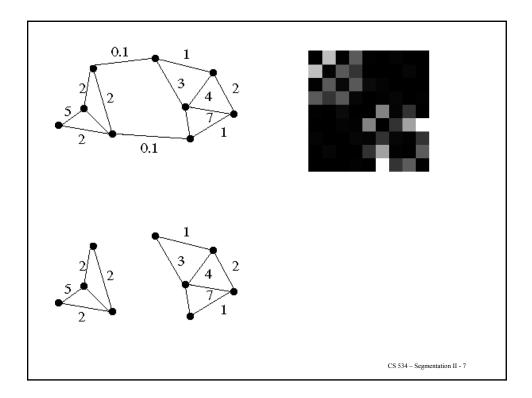
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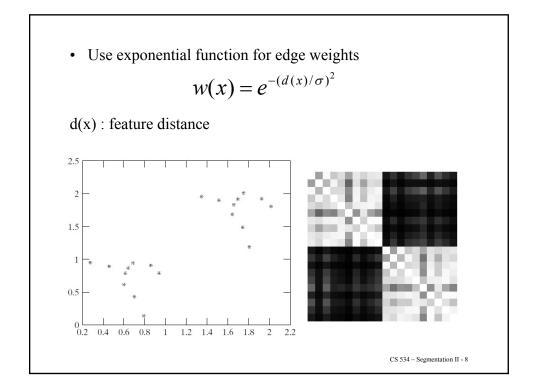
## Graph theoretic clustering

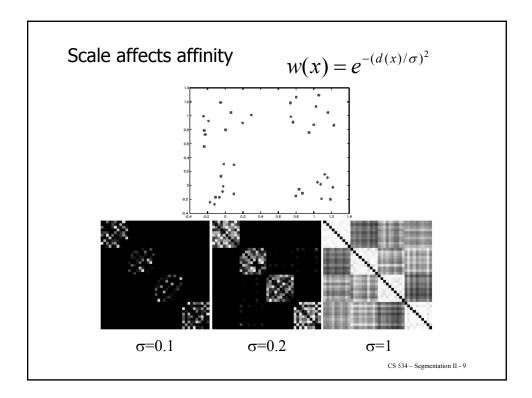
- Represent tokens using a weighted graph
  - Weights reflects similarity between tokens
  - affinity matrix
- Cut up this graph to get subgraphs such that:
  - Similarity within sets maximum.
  - Similarity between sets minimum.

⇒ Minimum cut









## Eigenvectors and clustering

- Simplest idea: we want a vector w giving the association between each element and a cluster
- We want elements within this cluster to, on the whole, have strong affinity with one another
- We could maximize

Sum of

 $w_n^T A w_n$ 

Association of element i with cluster n ×

Affinity between i and j  $\times$ 

Association of element j with cluster n

## Eigenvectors and clustering

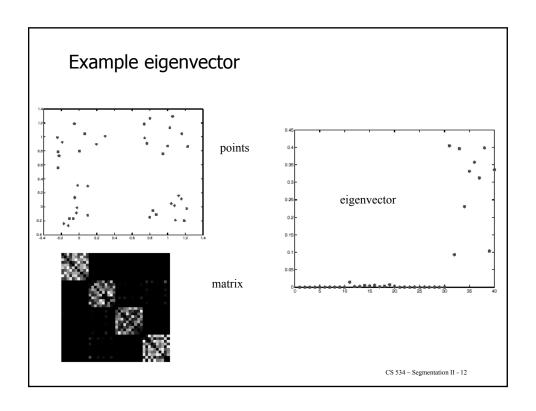
- We could maximize  $W_n^T A W_n$
- But need the constraint  $w_n^T w_n = 1$
- Using Lagrange multiplier  $\lambda$

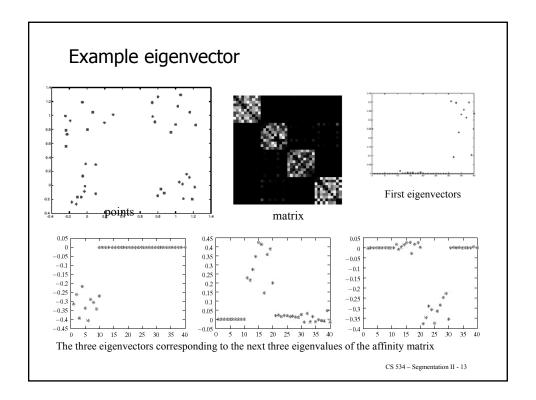
$$W_n^T A W_n + \lambda (W_n^T W_n - 1)$$

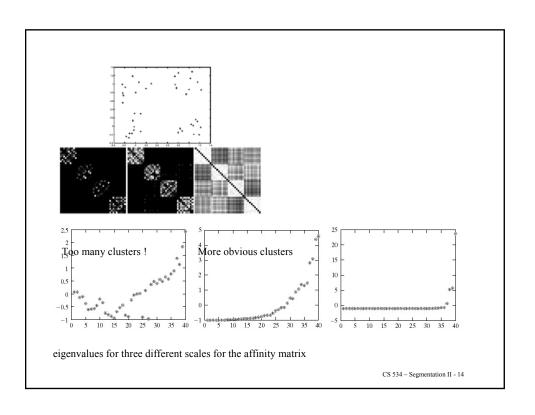
Differentiation

$$Aw_n = \lambda w_n$$

• This is an eigenvalue problem - choose the eigenvector of A with largest eigenvalue







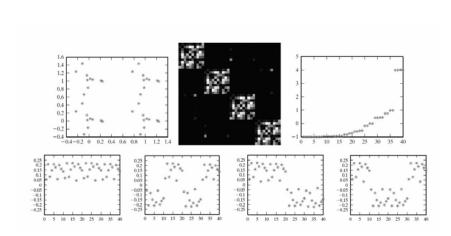
#### More than two segments

- Two options
  - Recursively split each side to get a tree, continuing till the eigenvalues are too small
  - Use the other eigenvectors

#### Algorithm

- Construct an Affinity matrix A
- Computer the eigenvalues and eigenvectors of A
- Until there are sufficient clusters
  - Take the eigenvector corresponding to the largest unprocessed eigenvalue; zero all components for elements already clustered, and threshold the remaining components to determine which element belongs to this cluster, (you can choose a threshold by clustering the components, or use a fixed threshold.)
  - If all elements are accounted for, there are sufficient clusters

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We can end up with eigenvectors that do not split clusters because any linear combination of eigenvectors with the same eigenvalue is also an eigenvector.

### Graph Cuts and Image Segmentation

- Represents image as a graph
- A vertex for each pixel
- Edges between pixels
- Weights on edges reflect similarity (affinity) in:
  - Brightness
  - Color
  - Texture
  - Distance
  - ...
- Connectivity:
  - Fully connected: edges between every pair of pixels
  - Partially connected: edges between neighboring pixels

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## Measuring Affinity

Intensity

$$aff(x,y) = \exp\left\{-\left(\frac{1}{2\sigma_i^2}\right)\left(\left\|I(x) - I(y)\right\|^2\right)\right\}$$

Distance

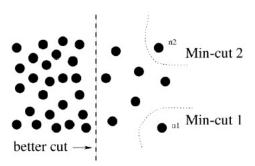
$$aff(x,y) = \exp\left\{-\left(\frac{1}{2\sigma_d^2}\right)(||x-y||^2)\right\}$$

color

$$aff(x,y) = \exp\left\{-\left(\frac{1}{2\sigma_t^2}\right)\left(\left\|c(x) - c(y)\right\|^2\right)\right\}$$

#### **Normalized Cuts**

• Min cut is not always the best cut



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#### Normalized cuts

- Current criterion evaluates within cluster similarity, but not across cluster difference
- Instead, we'd like to maximize the within cluster similarity compared to the across cluster difference
- Write graph as V, one cluster as A and the other as B

Maximize

$$\left(\frac{assoc(A,A)}{assoc(A,V)}\right) + \left(\frac{assoc(B,B)}{assoc(B,V)}\right)$$

• i.e. construct A, B such that their within cluster similarity is high compared to their association with the rest of the graph

• Association between two sets of vertices: total connection between the two sets.

$$assoc(A, B) = \sum_{u \in A, t \in B} w(u, t)$$

$$assoc(A, V) = \sum_{u \in A, t \in V} w(u, t)$$

$$assoc(B,V) = \sum_{u \in B, t \in V} w(u,t)$$

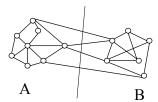


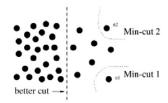
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• Normalize the cuts: compute the cut cost as a fraction of the total edge connections to all nodes in the graph

$$Ncut(A,B) = \frac{cut(A,B)}{assoc(A,V)} + \frac{cut(A,B)}{assoc(B,V)}$$

• Disassociation measure. The smaller the better.

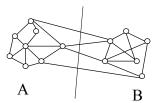




Association measure: Normalized association within groups:

$$Nassoc(A, B) = \frac{assoc(A, A)}{assoc(A, V)} + \frac{assoc(B, B)}{assoc(B, V)}$$

• This is a within group association measure: the bigger the better



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$$cut(A, B) = assoc(A, B) = assoc(A, V) - assoc(A, A)$$

$$\begin{split} Ncut(A,B) &= \frac{cut(A,B)}{assoc(A,V)} + \frac{cut(A,B)}{assoc(B,V)} \\ &= \frac{assoc(A,V) - assoc(A,A)}{assoc(A,V)} \\ &+ \frac{assoc(B,V) - assoc(B,B)}{assoc(B,V)} \\ &= 2 - \left(\frac{assoc(A,A)}{assoc(A,V)} + \frac{assoc(B,B)}{assoc(B,V)}\right) \\ &= 2 - Nassoc(A,B). \end{split}$$

$$Nassoc(A, B) = \frac{assoc(A, A)}{assoc(A, V)} + \frac{assoc(B, B)}{assoc(B, V)}$$

Total association (similarity) within groups, the bigger the better

- By looking for a cut that minimizes *Ncut(A,B)*,
  - Minimize the disassociation between the groups,
  - Maximize the association within group

$$Ncut(A, B) = \frac{cut(A, B)}{assoc(A, V)} + \frac{cut(A, B)}{assoc(B, V)}$$
$$= 2 - Nassoc(A, B)$$

• Minimizing a normalized cut is NP-complete

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#### Normalized cuts

• W: cost matrix: w(i,j) 
$$D(i,i) = \sum_{j} W(i,j),$$
• D: sum of the costs for every vertex

• Optimal Normalized cut can be found be solving for y that minimizes

$$\min_{y} \frac{y^{T}(D-W)y}{y^{T}Dy}$$
  $y \in \{1,-b\}$   $y^{T}D1 = 0$ 

- NP-complete problem,
- approximate real-valued solution by solving a generalized eigenvalue problem

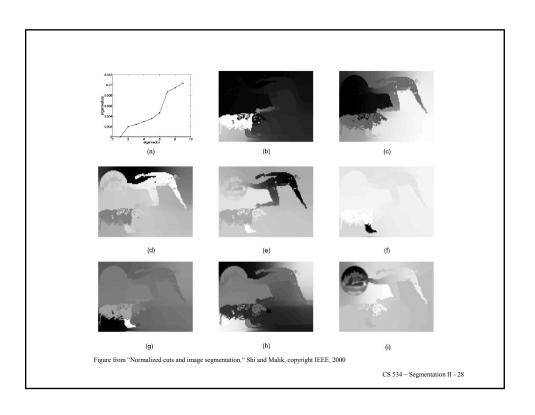
$$(D-W)y = \lambda Dy$$

- · Real-valued solution is the second smallest eigenvector
- look for a quantization threshold that maximizes the criterion --- i.e all components of y above that threshold go to one, all below go to -b

# Example - brightness



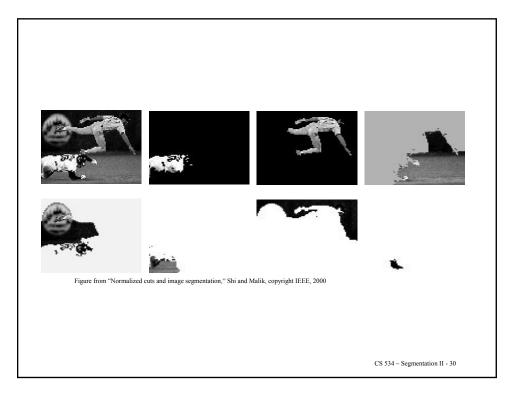
$$w_{ij} = e^{\frac{-\|F_{(j)} - F_{(j)}\|_{2}^{2}}{\sigma_{I}^{2}}} * \left\{ e^{\frac{-\|X_{(i)} - X_{(j)}\|_{2}^{2}}{\sigma_{X}^{2}}} & \text{if } \|X(i) - X(j)\|_{2} < r \\ 0 & \text{otherwise.} \right\}$$

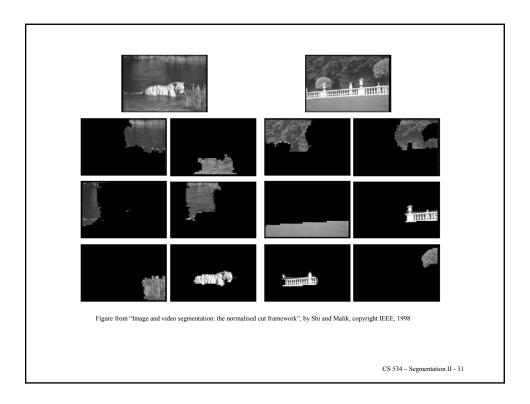


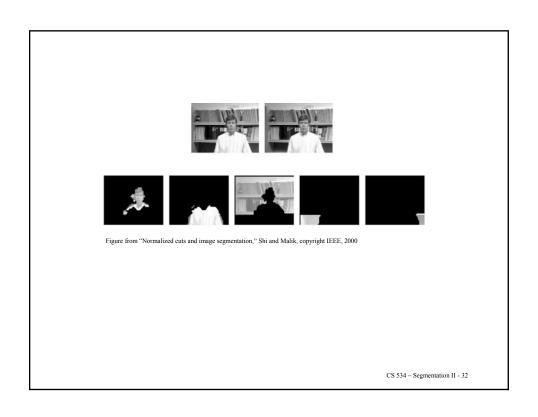
### Segmentation using Normalized cuts

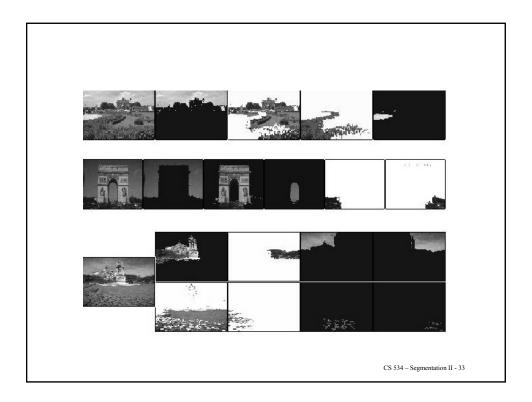
### Two algorithms:

- Recursive two-way Ncut
  - Use the second smallest eigenvector to obtain a partition to two segments.
  - Recursively apply the algorithm to each partition.
- Simultaneous K-way cut with multiple eigenvectors.
  - Use multiple (n) smallest eigenvectors as n dimensional class indicator for each pixel and apply simple clustering as k-means to obtain n clusters.









#### Sources

- Forsyth and Ponce, Computer Vision a Modern approach: chapter 14.
- Jianbo Shi and Jitendra Malik "Normalized Cuts and Image Segmetnation" IEEE Transactions on Pattern Analysis and Machine Intelligence, Vol 22 No. 0, August 2000