

CS 534: Computer Vision Appearance-based vision

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Outlines

- We will look into the major contributions in appearance-based vision
 - Appearance-based vision, problem definition and challenges
 - Subspace methods and PCA – review
 - Eigenfaces for face recognition
 - Parametric Appearance representations
 - Active shape and active appearance
 - Robust estimation and Eigen-tracking
 - Bilinear models and separation of style and content.

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Appearance is important

- 3D model-based recognition looks at object shape
- Is shape enough ?
- Vision deals with brightness images that are functions not only of shape but also intrinsic object and scene properties such as reflectance

- Representation of object appearance.

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Appearance-based Recognition

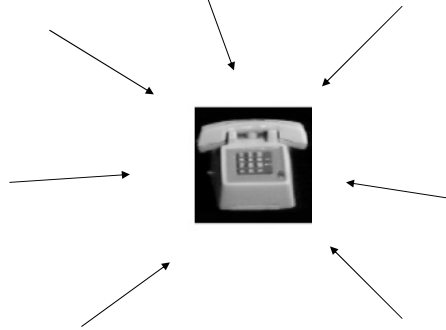
How can we build
representation of object
appearance for recognition

Image from Shree K Nayar et al
1996 “RealTime 100 Object
Recognition System”



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- Appearance is a function of the view point – object pose w.r.t. the camera
- We can collect many images of the object from many view points.
- How can we use these images to recognize the object



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Why we need to do that:

- Shape is not enough. Object appearance is important in recognition
- Acquiring appearance models can be easier than acquiring 3D models

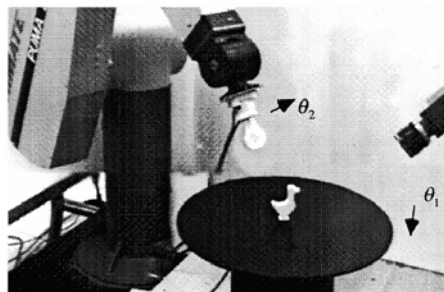
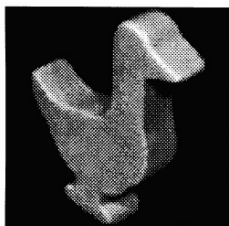


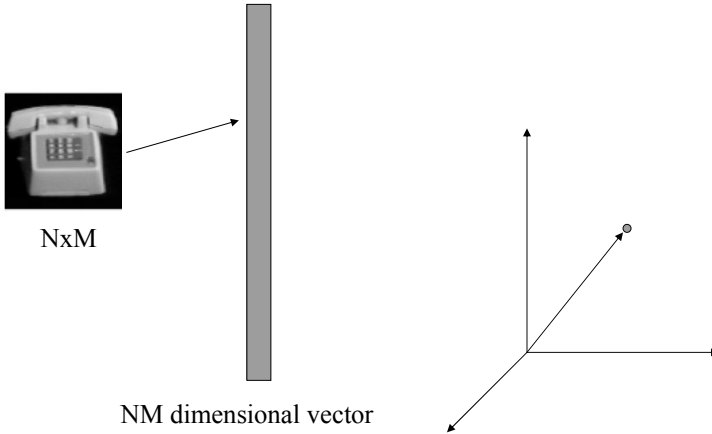
Figure from S. K. Nayar, et al, "Parametric Appearance Representation" 1996

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- Different views is not the only possible variations
- Capture all possible variations
 - Object surface reflectance
 - Object pose
 - Illumination conditions
 - Sensor parameters
- Simply impractical
- For many applications the range of variations can be limited

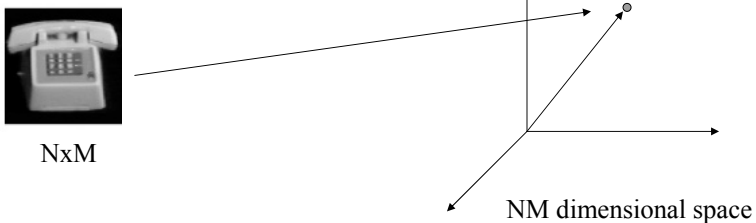
- The appearance of an object (rigid) is a combined effect of:
 - Its shape
 - Surface reflectance
 - Pose in the scene
 - Illumination condition

- Images are vectors in a high dimensional input space



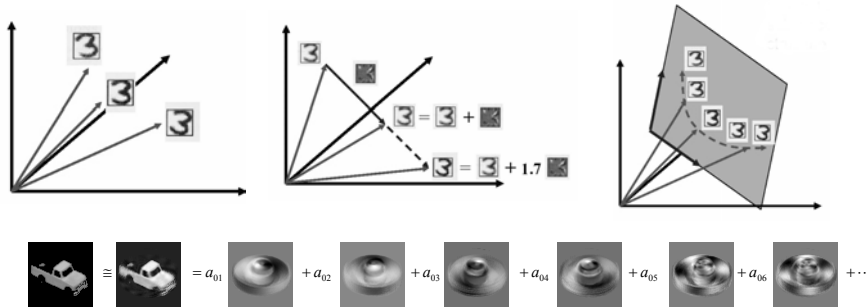
Important Questions

- What is the relation between images of similar objects from the same view point.
- What is the relation between images of the same object from different view points ?
- ... under different illumination
- They must be correlated



Subspace methods

- Describe the images as linear combination of image basis
- Given a collection of points in a high dimensional space find a lower dimensional subspace to project these points into



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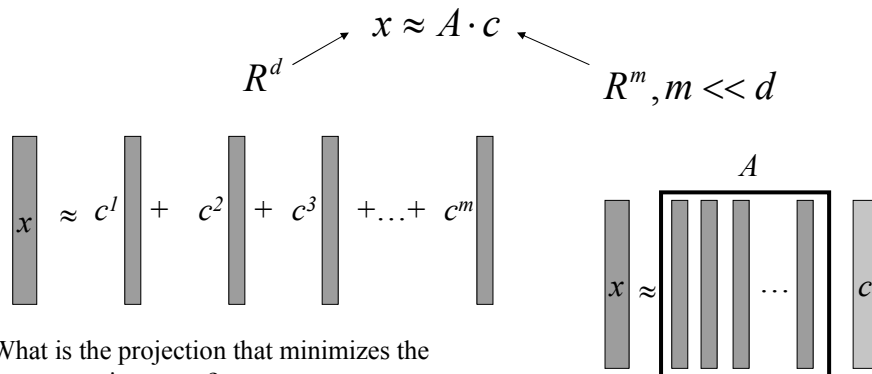
Subspace methods

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Principle Component Analysis PCA

- Given a set of points $\{x_1, x_2, \dots, x_N\}, x_i \in R^d$
- We are looking for a linear projection: a linear combination of orthogonal basis vectors



What is the projection that minimizes the reconstruction error?

$$E = \sum_i \|x_i - Ac_i\|$$

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Principle Component Analysis PCA

- Given a set of points

$$\{x_1, x_2, \dots, x_N\}, x_i \in R^d$$

- Center the points: compute

$$\mu = \frac{1}{N} \sum_i x_i$$

$$P = [x_1 - \mu, x_2 - \mu, \dots, x_N - \mu], x_i \in R^d$$

- Compute covariance matrix $Q = PP^T$
- Compute the eigen vectors for $Q \longrightarrow Qe_k = \lambda_k e_k$
- *Eigenvectors are the orthogonal basis we are looking for*

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Singular Value Decomposition - Recall

- SVD: If \mathbf{A} is a real m by n matrix then there exist orthogonal matrices \mathbf{U} ($m \times m$) and \mathbf{V} ($n \times n$) such that

$$\mathbf{U}^t \mathbf{A} \mathbf{V} = \Sigma = \text{diag}(\sigma_1, \sigma_2, \dots, \sigma_p) \quad p = \min\{m, n\}$$

$$\mathbf{U}^t \mathbf{A} \mathbf{V} = \Sigma \quad \mathbf{A} = \mathbf{U} \Sigma \mathbf{V}^t$$

- Singular values:** Non negative square roots of the eigenvalues of $\mathbf{A}^t \mathbf{A}$.
Denoted $\sigma_i, i=1, \dots, n$
- $\mathbf{A}^t \mathbf{A}$ is symmetric \Rightarrow eigenvalues and singular values are real.
- Singular values arranged in decreasing order.

$$\mathbf{A}^t \mathbf{A} = (\mathbf{U} \Sigma \mathbf{V}^t)^t (\mathbf{U} \Sigma \mathbf{V}^t) = \mathbf{V} \Sigma^t \mathbf{U}^t \mathbf{U} \Sigma \mathbf{V}^t = \mathbf{V} \Sigma^t \Sigma \mathbf{V}^t = \mathbf{V} \Sigma^2 \mathbf{V}^{-1}$$

$$(\mathbf{A}^t \mathbf{A}) \mathbf{V} = \mathbf{V} \Sigma^2$$

$$(\mathbf{A}^t \mathbf{A}) \mathbf{v} = \mathbf{v} \lambda$$

$$\begin{array}{|c|} \hline \mathbf{A} \\ \hline m \times n \end{array} = \begin{array}{|c|} \hline \mathbf{U} \\ \hline m \times m \end{array} \begin{array}{|c|} \hline \Sigma \\ \hline m \times n \end{array} \begin{array}{|c|} \hline \mathbf{V}^t \\ \hline n \times n \end{array}$$

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SVD for PCA

- SVD can be used to efficiently compute the image basis

$$\mathbf{P} \mathbf{P}^t = (\mathbf{U} \Sigma \mathbf{V}^t) (\mathbf{U} \Sigma \mathbf{V}^t)^t = \mathbf{U} \Sigma \mathbf{V}^t \mathbf{V} \Sigma^t \mathbf{U}^t = \mathbf{U} \Sigma^t \Sigma \mathbf{U}^t = \mathbf{U} \Sigma^2 \mathbf{U}^{-1}$$

$$(\mathbf{P} \mathbf{P}^t) \mathbf{U} = \mathbf{U} \Sigma^2$$

$$(\mathbf{P} \mathbf{P}^t) \mathbf{v} = \mathbf{v} \lambda$$

- \mathbf{U} are the eigen vectors (image basis)
- Most important thing to notice: Distance in the eigen-space is an approximation to the correlation in the original space

$$\|x_i - x_j\| \approx \|c_i - c_j\|$$

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PCA

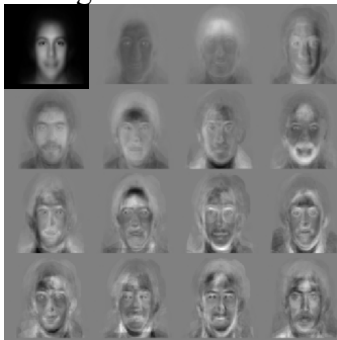
$$\begin{array}{ccc} & x \approx Ac & \\ R^d \swarrow & & \nwarrow R^m, m \ll d \\ & c \approx A^T x & \end{array}$$

- Most important thing to notice: Distance in the eigen-space is an approximation to the correlation in the original space

$$\|x_i - x_j\| \approx \|c_i - c_j\|$$

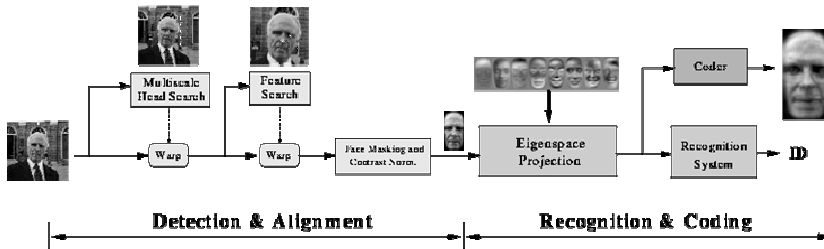
Eigenface

- Use PCA and subspace projection to perform face recognition
- How to describe a face as a linear combination of face basis
- Matthew Turk and Alex Pentland “Eigenfaces for Recognition” 1991



Face Recognition - Eigenface

- MIT Media Lab -Face Recognition demo page
<http://vismod.media.mit.edu/vismod/demos/facerec/>

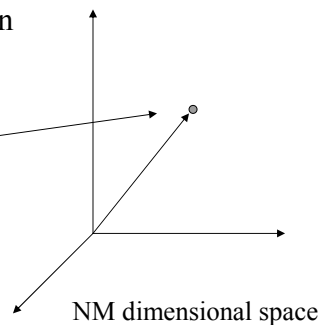


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- What is the relation between images of similar objects from the same view point.
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- ... under different illumination
- They must be correlated



$N \times M$



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Appearance Manifolds Learning

- Project all images to their eigen space
- Model each object view and illumination manifolds parametrically.

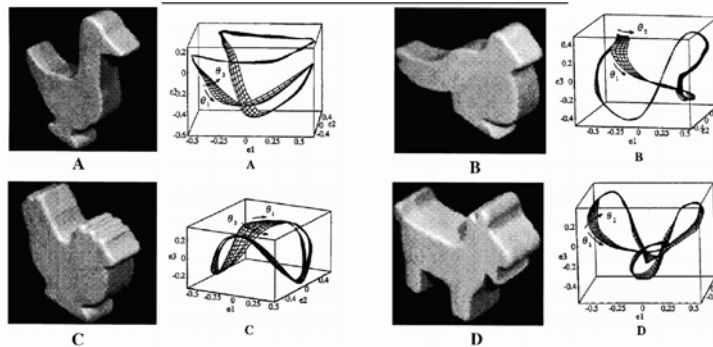


Figure from S. K. Nayar, et al, "Parametric Appearance Representation" 1996

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Recognition

- Given a new image, segment and normalize
- Project into the eigen-space
- Find the closest manifold point
- Demo videos at:

http://www1.cs.columbia.edu/CAVE/research/publications/appearance_matching.html

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Active shape – Active Appearance

- So far, our object are rigid
- Objective: model the shape/appearance of deformable objects
- Landmark-based approaches (e.g. Active shape/appearance models [Cootes et al 1995-])
- Deformation are modeled through linear models of certain landmarks through a correspondence frame.

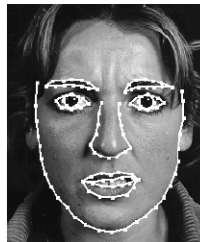


Figure from T. Cootes et al "Statistical models of appearance for Computer vision" 2000

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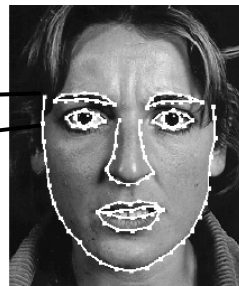
Active Shape



x

Point 1

Point 2



Mode 1



Mode 2



Mode 3



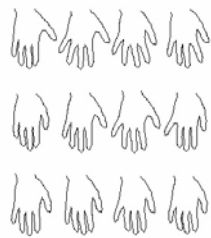
$$x_i = A \cdot c_i$$

One vector for each image

Figure from T. Cootes et al "Statistical models of appearance for Computer vision" 2000

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Active shape



Mode 1



Mode 2



Mode 3



Figure from T. Cootes et al "Statistical models of appearance for Computer vision" 2000

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Active Appearance

- Warp appearance (image batches) given a canonical shape to get rid of shape variations.

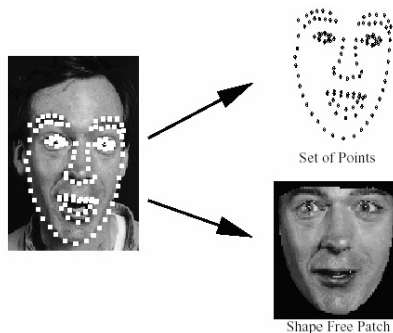
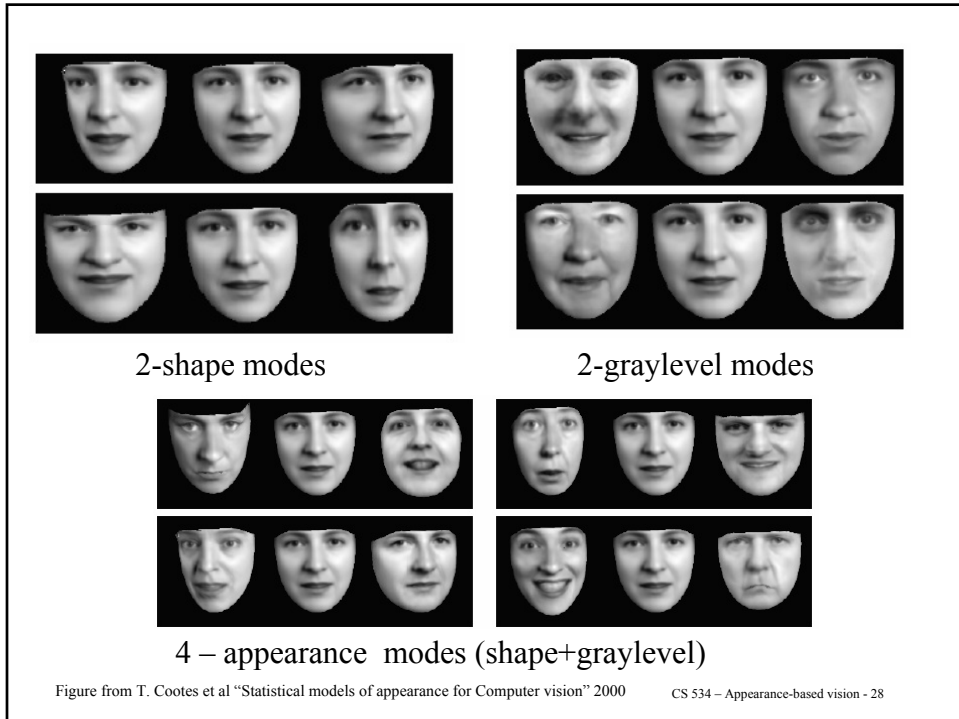


Figure from T. Cootes et al "Statistical models of appearance for Computer vision" 2000

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Robust Estimation and Eigen Reconstruction

- Michael J. Black and Allan D. Jepson "EigenTracking: Robust Matching and Tracking of Articulated Objects Using a View-Based Representation"
- Use M-estimator for reconstruction

$$x \approx Ac$$

$$c \approx A^T x$$

$$E(c) = \sum_i \rho(x_i - Ac_i, \sigma)$$



Fig. 2. A simple example. (a, b): Training images. (c, d): Eigenspace basis images.

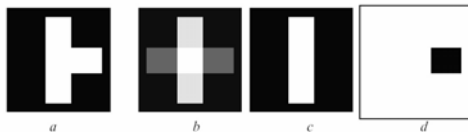


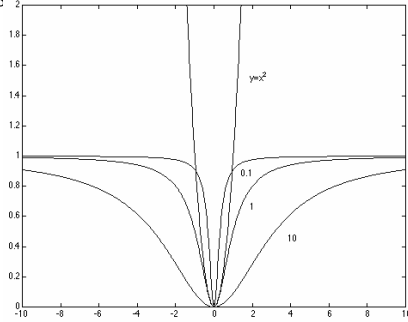
Fig. 3. Reconstruction. (a): New test image. (b): Least-squares reconstruction. (c): Robust reconstruction. (d): Outliers (shown as black pixels).

Recall M-estimators

- How to do that: replace $(\text{distance})^2$ with something that looks like $(\text{distance})^2$ for small distances, and is about constant for large distances

$$\text{minimize } \sum_i \rho(r_i, \sigma)$$

$$\rho(r, \sigma) = \frac{r^2}{r^2 + \sigma^2}$$



Residual (distance) for each point

Eigen-tracking

- Michael J. Black and Allan D. Jepson “EigenTracking: Robust Matching and Tracking of Articulated Objects Using a View-Based Representation”
- Formalize the tracking problem as a search for both eigenspace representation and image transformation

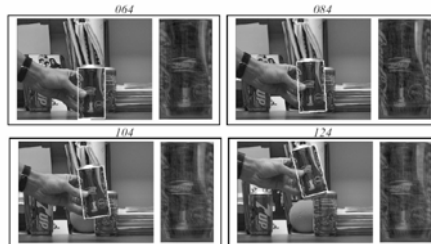
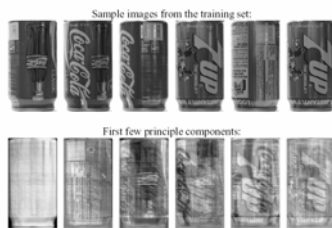
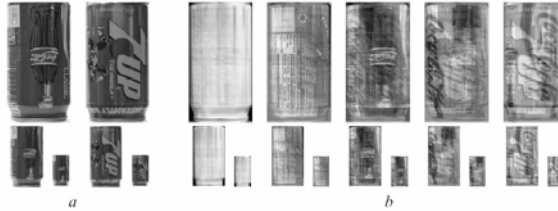


Fig. 7. Pickup Sequence. EigenTracking with translation and rotation in the image plane. Every 20 frames in the 75 frame sequence are shown.

Eigen tracking

- Eigen-pyramid: basis at multiresolution



Figures from M. J. Black and A. D. Jepson "EigenTracking: Robust Matching and Tracking of Articulated Objects Using a View-Based Representation" ECCV 1996

Eigen-tracking

- Michael J. Black and Allan D. Jepson "EigenTracking: Robust Matching and Tracking of Articulated Objects Using a View-Based Representation"

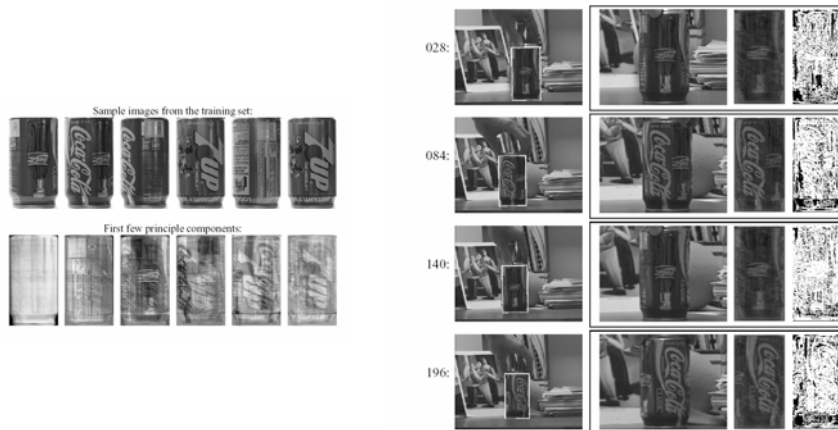


Fig. 8. EigenTracking with translation and divergence over 200 frames. The soda can rotates about its major axis while moving relative to the camera.

Figures from M. J. Black and A. D. Jepson "EigenTracking: Robust Matching and Tracking of Articulated Objects Using a View-Based Representation" ECCV 1996

Eigen-tracking

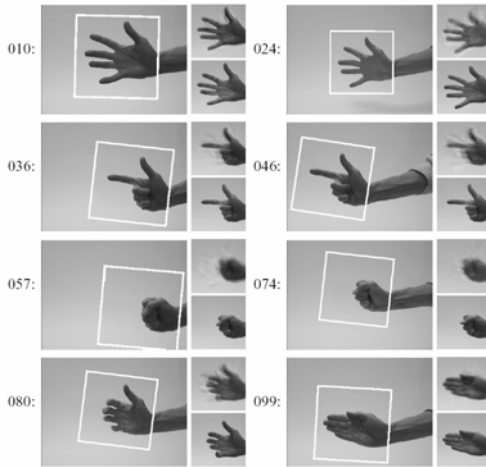


Fig. 10. Tracking and recognizing hand gestures in video.

Figures from M. J. Black and A. D. Jepson "EigenTracking: Robust Matching and Tracking of Articulated Objects Using a View-Based Representation" ECCV 1996

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Separating Style and Content

- Objective: Decomposing two factors using linear methods
 - Content: which character
 - Style : which font
- "Bilinear models"
- J. Tenenbaum and W. Freeman
"Separating Style and Content with Bilinear Models" Neural computation 2000

A

Classification

| | | | | |
|----------|----------------|----------|----------|----------|
| A | B | C | D | E |
| <i>A</i> | <i>B</i> | <i>C</i> | <i>D</i> | <i>E</i> |
| <i>A</i> | <i>B</i> | <i>C</i> | <i>D</i> | <i>E</i> |
| <i>A</i> | <i>B</i> | <i>C</i> | <i>D</i> | <i>E</i> |
| B | C _A | E | D | |

B

Extrapolation

| | | | | |
|----------|----------|----------|----------|----------|
| A | B | C | D | E |
| <i>A</i> | <i>B</i> | <i>C</i> | <i>D</i> | <i>E</i> |
| <i>A</i> | <i>B</i> | <i>C</i> | <i>D</i> | <i>E</i> |
| <i>A</i> | <i>B</i> | <i>C</i> | <i>D</i> | <i>E</i> |
| ? | ? | C | D | E |

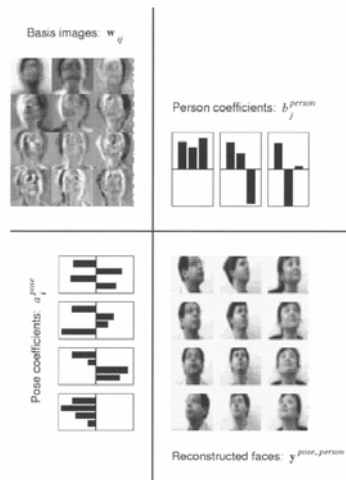
Figures from J. Tenenbaum and W. Freeman "Separating Style and Content with Bilinear Models" Neural computation 2000

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Bilinear Models

- Symmetric bilinear model

$$y^{sc} = \sum_{i,j} w_{ij} a_i^s b_j^c$$

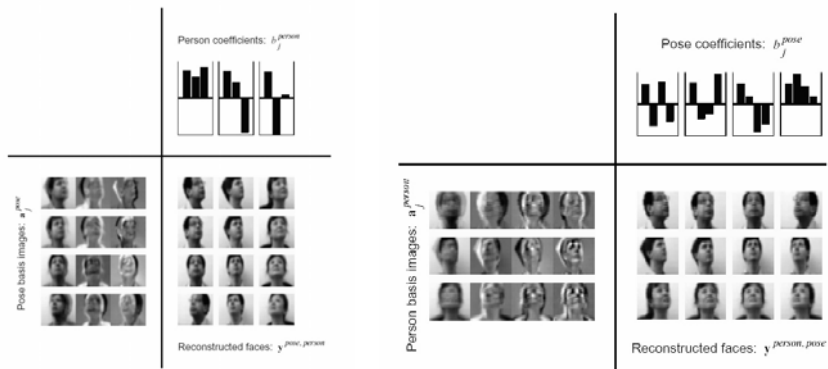


Figures from J. Tenenbaum and W. Freeman "Separating Style and Content with Bilinear Models" Neural computation 2000

Bilinear models

- Asymmetric bilinear model: use style dependent basis vectors

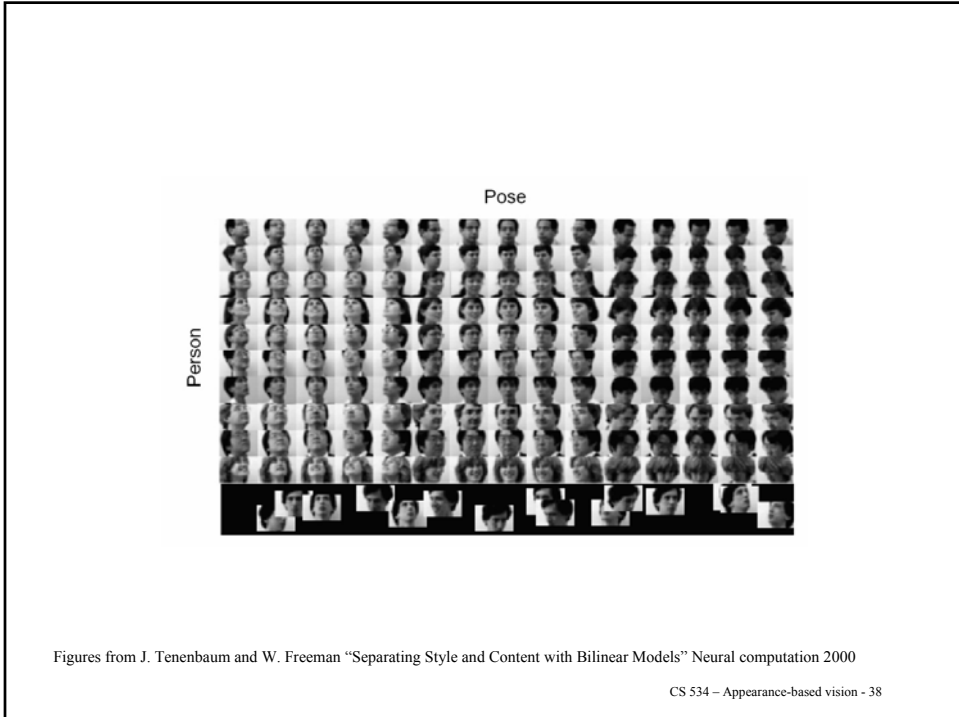
$$y^{sc} = A^s b^c$$



Head pose as style factor
person as content

Person as style factor
pose as content

Figures from J. Tenenbaum and W. Freeman "Separating Style and Content with Bilinear Models" Neural computation 2000



Sources

- S. K. Nayar et al 1996 "RealTime 100 Object Recognition System" Technical Report CUCS-019-95, September 1994. Proceedings of ARPA Image Understanding Workshop, San Fransisco, February 1996.
- S. K. Nayar, H. Murase, and S. A. Nene, "Parametric Appearance Representation," in Early Visual Learning, edited by S. K. Nayar and T. Poggio, Oxford University Press, February 1996.
- M. Turk and A. Pentland "Eigenfaces for Recognition" J. Cognitive Neuroscience, vol. 3, pp. 71--86, 1994
- T. F. Cootes, C. J. Taylor, D. H. Cooper, and J. Graham. "Active shape models: Their training and application." (1995) CVIU, 61(1):38-59 –
- Many other useful publications and information about Active shape and Active appearance models can be found at T. Cootes we page:
<http://www.isbe.man.ac.uk/~bim/>
- M. J. Black and A. D. Jepson "EigenTracking: Robust Matching and Tracking of Articulated Objects Using a View-Based Representation" ECCV 1996
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