





# 1 Depth-First Search in Directed Planar Graphs, 2 Revisited

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## 9 — Abstract —

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10 We present an algorithm for constructing a depth-first search tree in planar digraphs; the algorithm  
11 can be implemented in the complexity class  $AC^1(UL \cap co-UL)$ , which is contained in  $AC^2$ . Prior to  
12 this (for more than a quarter-century), the fastest uniform deterministic parallel algorithm for this  
13 problem was  $O(\log^{10} n)$  (corresponding to the complexity class  $AC^{10} \subseteq NC^{11}$ ).

14 We also consider the problem of computing depth-first search trees in other classes of graphs,  
15 and obtain additional new upper bounds.

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## 24 **1 Preface**

25 Klaus-Jörn Lange has made fundamental contributions to the study of subclasses of NC  
26 (such as [17, 22]) and he also was one of the first to identify subtleties in the formulation  
27 of unambiguity in the logspace setting [11] and he contributed to our understanding of  
28 unambiguous computation [5, 25, 26, 27].

29 In our contribution to the celebration of Klaus-Jörn Lange’s work, we bring together  
30 these two research threads, in order to give a better understanding of the computational  
31 complexity of constructing depth-first search trees in planar digraphs.

## 32 **2 Introduction**

33 Depth-first search trees (DFS trees) constitute one of the most useful items in the algorithm  
34 designer’s toolkit, and for this reason they are a standard part of the undergraduate al-  
35 gorithmic curriculum around the world. When attention shifted to parallel algorithms in  
36 the 1980’s, the question arose of whether NC algorithms for DFS trees exist. An early  
37 negative result was that the problem of constructing the *lexicographically least* DFS tree  
38 in a given digraph is complete for P [29]. But soon thereafter significant advances were  
39 made in developing parallel algorithms for DFS trees, culminating in the  $RNC^7$  algorithm of  
40 Aggarwal, Anderson, and Kao [1]. This remains the fastest parallel algorithm for the problem  
41 of constructing DFS trees in general graphs, in the probabilistic setting, or in the setting of

42 nonuniform circuit complexity. It remains unknown if this problem lies in (deterministic) NC  
 43 (and we do not solve that problem here).

44 More is known for various restricted classes of graphs. For directed acyclic graphs (DAGs),  
 45 the lexicographically-least DFS tree from a given vertex can be computed in  $AC^1$  [13]. (See  
 46 also [14, 9, 16, 28, 20, 19].) For undirected planar graphs, an  $AC^1$  algorithm for DFS trees  
 47 was presented by Hagerup [18]. For more general planar directed graphs Kao and Klein  
 48 presented an  $AC^{10}$  algorithm. Kao subsequently presented an  $AC^5$  algorithm for DFS in  
 49 *strongly-connected* planar digraphs [23]. In stating the complexity results for this prior work  
 50 in terms of complexity classes (such as  $AC^1, AC^{10}$ , etc.), we are ignoring an aspect that  
 51 was of particular interest to the authors of this earlier work: minimizing the number of  
 52 processors. This is because our focus is on classifying the complexity of constructing DFS  
 53 trees in terms of complexity classes. Thus, if we reduce the complexity of a problem from  
 54  $AC^{10}$  to  $AC^2$ , then we view this as a significant advance, even if the  $AC^2$  algorithm uses many  
 55 more processors (so long as the number of processors remains bounded by a polynomial).  
 56 Indeed, our algorithms rely on the logspace algorithm for undirected reachability [30], which  
 57 does not directly translate into a processor-efficient algorithm. We suspect that our approach  
 58 can be modified to yield a more processor-efficient  $AC^3$  algorithm, but we leave that for  
 59 others to investigate.

## 60 2.1 Our Contributions

61 First, we observe that, given a DAG  $G$ , computation of a DFS tree in  $G$  logspace reduces to  
 62 the problem of reachability in  $G$ . Thus, for general DAGs, computation of a DFS tree lies  
 63 in NL, and for planar DAGs, the problem lies in  $UL \cap \text{co-UL}$  [10, 33]. For classes of graphs  
 64 where the reachability problem lies in L, so does the computation of DFS trees. One such  
 65 class of graphs is planar DAGs with a single source (see [2], where this class of graphs is  
 66 called SMPDs, for **S**ingle-source, **M**ultiple-sink, **P**lanar **D**AGs).

67 For undirected planar graphs, it was shown in [4] that the approach of Hagerup’s  $AC^1$   
 68 DFS algorithm [18] can be adapted in order to show that construction of a DFS tree in a  
 69 planar *undirected* graph logspace-reduces to computing the distance between two nodes in a  
 70 planar digraph. Since this latter problem lies in  $UL \cap \text{co-UL}$  (see Theorem 1), so does the  
 71 problem of DFS for planar *undirected* graphs.

72 Our main contribution in the current paper is to show that a more sophisticated application  
 73 of the ideas in [18] leads to an  $AC^1(UL \cap \text{co-UL})$  algorithm for construction of DFS trees in  
 74 planar *directed* graphs. (That is, we show DFS trees can be constructed by unbounded fan-in  
 75 log-depth circuits that have oracle gates for a set in  $UL \cap \text{co-UL}$ .<sup>1</sup>) Since  $UL \subseteq NL \subseteq SAC^1 \subseteq$   
 76  $AC^1$ , the  $AC^1(UL \cap \text{co-UL})$  algorithm can be implemented in  $AC^2$ . Thus this is a significant  
 77 improvement over the best previous parallel algorithm for this problem: the  $AC^{10}$  algorithm  
 78 of [24], which has stood for 28 years.

## 79 3 Preliminaries

80 We assume that the reader is familiar with depth-first search trees (DFS trees).

81 We further assume that the reader is familiar with the standard complexity classes L, NL  
 82 and P (see e.g. the text [8]). We will also make frequent reference to the logspace-uniform

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<sup>1</sup> An earlier version of this work claimed a stronger upper bound, but there was an error in one of the lemmas in that version [3].

83 circuit complexity classes  $\text{NC}^k$  and  $\text{AC}^k$ .  $\text{NC}^k$  is the class of problems for which there is a  
 84 logspace-uniform family of circuits  $\{C_n\}$  consisting of AND, OR, and NOT gates, where  
 85 the AND and OR gates have fan-in two and each circuit  $C_n$  has depth  $O(\log^k n)$ . (The  
 86 logspace-uniformity condition implies that each  $C_n$  has only  $n^{O(1)}$  gates.)  $\text{AC}^k$  is defined  
 87 similarly, although the AND and OR gates are allowed unbounded fan-in. An equivalent  
 88 characterization of  $\text{AC}^k$  is in terms of concurrent-read concurrent-write PRAMs with running  
 89 time  $O(\log^k n)$ , using  $n^{O(1)}$  processors. For more background on these circuit complexity  
 90 classes, see, e.g., the text [36].

91 A nondeterministic Turing machine is said to be *unambiguous* if, on every input  $x$ , there is  
 92 at most one accepting computation path. If we consider logspace-bounded nondeterministic  
 93 Turing machines, then unambiguous machines yield the class  $\text{UL}$ . A set  $A$  is in  $\text{co-UL}$  if and  
 94 only if its complement lies in  $\text{UL}$ .

95 The construction of DFS trees is most naturally viewed as a *function* that takes a graph  
 96  $G$  and a vertex  $v$  as input, and produces as output an encoding of a DFS tree in  $G$  rooted at  
 97  $v$ . But the complexity classes mentioned above are all defined as sets of *languages*, instead of  
 98 as sets of *functions*. Since our goal is to place DFS tree construction into the appropriate  
 99 complexity classes, it is necessary to discuss how the complexity of functions fits into the  
 100 framework of complexity classes.

101 When  $\mathcal{C}$  is one of  $\{\text{L}, \text{P}\}$ , it is fairly obvious what is meant by “ $f$  is computable in  $\mathcal{C}$ ”; the  
 102 classes of logspace-computable functions and polynomial-time-computable functions should  
 103 be familiar to the reader. However, the reader might be less clear as to what is meant by  
 104 “ $f$  is computable in  $\text{NL}$ ”. As it turns out, essentially all of the reasonable possibilities are  
 105 equivalent. Let us denote by  $\text{FNL}$  the class of functions that are computable in  $\text{NL}$ ; it is  
 106 shown in [21] each of the three following conditions is equivalent to “ $f \in \text{FNL}$ ”.

- 107 1.  $f$  is computed by a logspace machine with an oracle from  $\text{NL}$ .
- 108 2.  $f$  is computed by a logspace-uniform  $\text{NC}^1$  circuit family with oracle gates for a language  
 109 in  $\text{NL}$ .
- 110 3.  $f(x)$  has length bounded by a polynomial in  $|x|$ , and the set  $\{(x, i, b) : \text{the } i^{\text{th}} \text{ bit of } f(x)$   
 111  $\text{is } b\}$  is in  $\text{NL}$ .

112 Rather than use the unfamiliar notation “ $\text{FNL}$ ”, we will abuse notation slightly and refer to  
 113 certain functions as being “computable in  $\text{NL}$ ”.

114 The proof of the equivalence above relies on the fact that  $\text{NL}$  is closed under complement.  
 115 Thus it is far less clear what it should mean to say that a function is “computable in  $\text{UL}$ ”  
 116 since it remains an open question if  $\text{UL}$  is closed under complement (although it is widely  
 117 conjectured that  $\text{UL} = \text{NL}$ ) [31, 7]). However the proof from [21] carries over immediately to  
 118 the class  $\text{UL} \cap \text{co-UL}$ . That is, the following conditions are equivalent:

- 119 1.  $f$  is computed by a logspace machine with an oracle from  $\text{UL} \cap \text{co-UL}$ .
- 120 2.  $f$  is computed by a logspace-uniform  $\text{NC}^1$  circuit family with oracle gates for a language  
 121 in  $\text{UL} \cap \text{co-UL}$ .
- 122 3.  $f(x)$  has length bounded by a polynomial in  $|x|$ , and the set  $\{(x, i, b) : \text{the } i^{\text{th}} \text{ bit of } f(x)$   
 123  $\text{is } b\}$  is in  $\text{UL} \cap \text{co-UL}$ .

124 Thus, if any of those conditions hold, we will say that “ $f$  is computable in  $\text{UL} \cap \text{co-UL}$ ”.

125 The important fact that the composition of two logspace-computable functions is also  
 126 logspace-computable (see, e.g., [8]) carries over with an identical proof to the functions  
 127 computable in  $\text{L}^C$  for any oracle  $C$ . Thus the class of functions computable in  $\text{UL} \cap \text{co-UL}$  is  
 128 also closed under composition. We make implicit use of this fact frequently when presenting  
 129 our algorithms. For example, we may say that a colored labeling of a graph  $G$  is computable  
 130 in  $\text{UL} \cap \text{co-UL}$ , and that, given such a colored labeling, a decomposition of the graph into

131 layers is also computable in logspace, and furthermore, that – given such a decomposition of  
 132  $G$  into layers – an additional coloring of the smaller graphs is computable in  $\text{UL} \cap \text{co-UL}$ , etc.  
 133 The reader need not worry that a logspace-bounded machine does not have adequate space  
 134 to store these intermediate representations; the fact that the final result is also computable in  
 135  $\text{UL} \cap \text{co-UL}$  follows from closure under composition. In effect, the bits of these intermediate  
 136 representations are re-computed each time we need to refer to them.

137 The following theorem, due to [34], gives an important example of a function that is  
 138 computable in  $\text{UL} \cap \text{co-UL}$ .

139 ► **Theorem 1.** [34] *The function that takes as input a directed planar graph  $G$  and two*  
 140 *vertices  $x$  and  $y$ , and produces as output the length of the shortest path from  $x$  to  $y$ , lies in*  
 141  *$\text{UL} \cap \text{co-UL}$ .*

142 **Proof.** Thierauf and Wagner [34, Section 4] show that the techniques of [10, 31, 2] can be  
 143 combined to show that distance in planar graphs can be computed in  $\text{UL} \cap \text{co-UL}$ , by reducing  
 144 the computation of distance to the planar reachability problem.

145 More precisely, Thierauf and Wagner observe that, given a planar graph  $G$ , the argument  
 146 in [2] shows how to produce a grid graph  $G'$  with certain edges labeled as “distinguished”,  
 147 with the property that every path  $p$  between two vertices in  $G$  can be associated with a  
 148 unique path  $p'$  in  $G'$ , where furthermore the length of the path  $p$  is equal to the number of  
 149 “distinguished” edges in  $p'$ . (Essentially, edges in  $G$  are mapped to paths in  $G'$ , and some of  
 150 the edges are  $G'$  are marked as corresponding to “real” edges in  $G$ .) They then show that a  
 151 modification of the weight function from [10] has the property that, given the weight of a  
 152 path in  $G'$ , one can easily determine the number of “distinguished” edges in the path, and  
 153 thereby determine the distance between two vertices in  $G$ . ◀

154 Finally, we will consider  $\text{AC}^k$  circuits augmented with oracle gates for an oracle in  
 155  $\text{UL} \cap \text{co-UL}$ , which we denote by  $\text{AC}^k(\text{UL} \cap \text{co-UL})$ .

## 156 4 DFS in DAGs logspace reduces to Reachability

157 In this section, we observe that constructing the lexicographically-least DFS tree in a DAG  
 158  $G$  can be done in logspace given an oracle for reachability in  $G$ . But first, let us define what  
 159 we mean by the lexicographically-first DFS tree in  $G$ :

160 ► **Definition 2.** *Let  $G$  be a DAG, with the neighbours of the vertices given in some order*  
 161 *in the input. (For example, with adjacency lists, we can consider the ordering in which the*  
 162 *neighbors are presented in the list). Then the lexicographic first DFS traversal of  $G$  is the*  
 163 *traversal done by the following procedure:*

164 That is, the lexicographically-first DFS tree is merely a DFS tree, but with the (very  
 165 natural) condition that the children of every vertex are explored in the order given in the  
 166 input.

167 When we apply this procedure as part of our algorithm for DFS in planar graphs, we will  
 168 need to to apply it to directed acyclic *multigraphs* (i.e., graphs with parallel edges between  
 169 vertices) where there is a logspace-computable function  $f(v, e)$  that computes the ordering  
 170 of the neighbors of vertex  $v$ , assuming that  $v$  is entered using edge  $e$ . (That is, if the DFS  
 171 tree visits vertex  $v$  from vertex  $x$ , and there are several parallel edges from  $x$  to  $v$ , then the  
 172 ordering of the neighbors of  $v$  may be different, depending on which edge is followed from  $x$   
 173 to  $v$ .)

**Input:**  $(G, v)$   
**Output:** Sequence of edges in DFS tree  
 $visited[v] \leftarrow 1$   
**for** every out neighbour  $w$  of  $v$ , in the given order **do**  
    **if**  $visited[w] = 0$  **then**  
        print( $v, w$ )  
        DFS( $G, w$ )  
    **end**  
**end**

■ **Algorithm 1** Static DFS routine

174 As is observed in [13], the unique path from  $s$  to another vertex  $v$  in the lexicographically-  
175 least DFS tree in  $G$  rooted at  $s$  is the lexicographically-least path in  $G$  from  $s$  to  $v$ .

176 Now consider the following simple algorithm for constructing the lexicographically-least  
177 path in a DAG  $G$  from  $s$  to  $v$ , shown in Algorithm 2:

**Input:**  $(G, s, v, f)$   
**Output:** Lex least path from  $s$  to  $v$  under  $f$   
 $current \leftarrow s; e \leftarrow null;$   
**while** ( $current \neq v$ ) **do**  
     $child \leftarrow$  first child of  $current$  (in the order given by  $f(current, e)$ )  
    **while** ( $REACH(child, v) \neq TRUE$ ) **do**  
         $child \leftarrow$  next child of  $current$  (in the order given by  $f(current, e)$ )  
    **end**  
     $e \leftarrow (current, child); current = child;$   
**end**

■ **Algorithm 2** DAG DFS routine

178 The correctness of this algorithm is essentially shown by the proof of Theorem 11 of [13].

179 The algorithm for computing the lexicographically-least DFS tree rooted at  $s$  can thus  
180 be presented as the composition of two functions  $g$  and  $h$ , where  $g(G, s) = (G, s, L)$ , where  
181  $L$  is a list of the lexicographically-least paths from  $s$  to each vertex  $v$ . Note that the set of  
182 edges in the DFS tree in  $G$  rooted at  $s$  is exactly the set of edges that occur in the list  $L$   
183 in  $g(G, s) = (G, s, L)$ . Then  $h(G, s, L)$  is just the result of removing from  $G$  each edge that  
184 does not appear in  $L$ . The function  $h$  is computable in logspace, whereas  $g$  is computable in  
185 logspace with an oracle for reachability in  $G$ .

186 Since reachability in DAGs is a canonical complete problem for NL, we obtain the following  
187 corollary:

188 ► **Corollary 3.** *Construction of lexicographically-first DFS trees for DAGs lies in NL.*

189 Similarly, since reachability in planar directed (not-necessarily acyclic) graphs lies in  
190  $UL \cap co-UL$  [10, 33], we obtain:

191 ► **Corollary 4.** *Construction of lexicographically-first DFS trees for planar DAGs lies in*  
192  *$UL \cap co-UL$ .*

193 A planar DAG  $G$  is said to be an SMPD if it contains at most one vertex of indegree  
194 zero. Reachability in SMPDs is known to lie in L [2].

195 ► **Corollary 5.** *Construction of lexicographically-first DFS trees for SMPDs lies in L.*

## 196 **5 Overview of the Algorithm**

197 The main algorithmic insight that led us to the current algorithm was a generalization of  
 198 the layering algorithm that Hagerup developed for *undirected* graphs [18]. We show that  
 199 this approach can be modified to yield a useful decomposition of *directed* graphs, where the  
 200 layers of the graph have a restricted structure that can be exploited. More specifically, the  
 201 strongly-connected components of each layer are what we call *meshes*, which enable us easily  
 202 to construct paths (which will end up being paths in the DFS trees we construct) whose  
 203 removal partitions the graph into significantly smaller strongly-connected components.

204 The high-level structure of the algorithm is thus:

- 205 1. Construct a planar embedding of  $G$ .
- 206 2. Partition the planar graph  $G$  into layers (each of which is surrounded by a directed cycle).
- 207 3. Identify one such cycle  $C$  that has properties that will allow us to partition the graph  
 208 into smaller weakly-connected components.
- 209 4. Depending on which properties  $C$  satisfies, create a path  $p$  from the exterior face either  
 210 to a vertex on  $C$  or to one of the meshes that reside in the layer just inside  $C$ . Removal  
 211 of  $p$  partitions  $G$  into weakly-connected components, where each strongly-connected  
 212 component therein is smaller than  $G$  by a constant factor.
- 213 5. Let the vertices on this path  $p$  be  $v_1, v_2, \dots, v_k$ . The DFS tree will start with the path  $p$ ,  
 214 and append DFS trees for subgraphs  $G_1, G_2, \dots, G_k$  to this path, where  $G_i$  consists of  
 215 all of the vertices that are reachable from  $v_i$  that are not reachable from  $v_j$  for any  $j > i$ .  
 216 (This is obviously a tree, and it will follow that it is a DFS tree.) Further, decompose each  
 217  $G_i$  into a DAG of strongly-connected components. Build a DFS of that DAG, and then  
 218 work on building DFS trees of the remaining (smaller) strongly-connected components.
- 219 6. Each of the steps above can be accomplished in  $\text{UL} \cap \text{co-UL}$ , which means that there is  
 220 an  $\text{AC}^0$  circuit with oracle gates from  $\text{UL} \cap \text{co-UL}$  that takes  $G$  as input and produces  
 221 the list of much smaller graphs  $G_1, \dots, G_k$ , as well as the path  $p$  that forms the spine  
 222 of the DFS tree. We now recursively apply this procedure (in parallel) to each of these  
 223 smaller graphs. The construction is complete after  $O(\log n)$  phases, yielding the desired  
 224  $\text{AC}^1(\text{UL} \cap \text{co-UL})$  circuit family.

225 In the exposition below, we first layer the graph in terms of clockwise cycles (which we  
 226 will henceforth call red cycles), and obtain a decomposition of the original graph into smaller  
 227 pieces. We then apply a nested layering in terms of counterclockwise cycles (which we will  
 228 henceforth call blue cycles); ultimately we decompose the graph into units that are structured  
 229 as a DAG, which we can then process using the tools from Section 4. The more detailed  
 230 presentation follows.

### 231 **5.1 Degree Reduction and Expansion**

232 **► Definition 6.** (of  $\text{Exp}^\circ(G)$  and  $\text{Exp}^\circ(G)$ ) Let  $G$  be a planar digraph. The “expanded”  
 233 digraph  $\text{Exp}^\circ(G)$  (respectively,  $\text{Exp}^\circ(G)$ ) is formed by replacing each vertex  $v$  of total degree  
 234  $d(v) > 3$  by a clockwise (respectively, counterclockwise) cycle  $C_v$  on  $d(v)$  vertices such that  
 235 the endpoint of the  $i$ -th edge incident on  $v$  is now incident on the the  $i$ -th vertex of the cycle.

236  $\text{Exp}^\circ(G)$  and  $\text{Exp}^\circ(G)$  each have maximum degree bounded by 3; i.e., they are *subcubic*.  
 237 Next we define the clockwise (and counterclockwise) dual for such a graph and also a notion  
 238 of distance.

239 Recall that for an undirected plane graph  $H$ , the dual (multigraph)  $H^*$  is formed by  
 240 placing, for every edge  $e \in E(H)$ , a dual edge  $e^*$  between the face(s) on either side of  $e$  (see



241 Section 4.6 from [15] for more details). Faces  $f$  of  $H$  and the vertices  $f^*$  of  $H^*$  correspond  
 242 to each other as do vertices  $v$  of  $H$  and faces  $v^*$  of  $H^*$ .

243 **► Definition 7.** (of Duals  $G^\circ$  and  $G^\circ$ ) Let  $G$  be a plane digraph, then the clockwise dual  
 244  $G^\circ$  (respectively, counterclockwise dual  $G^\circ$ ) is a weighted bidirected version of the undirected  
 245 dual of the underlying undirected graph of  $G$  in a way so that the orientation formed by  
 246 rotating the corresponding directed edge of  $G$  in a clockwise (respectively, counterclockwise)  
 247 way gets a weight of 1 and the other orientation gets weight 0. We inherit the definition of  
 248 dual vertices and faces from the underlying undirected dual.

249 **► Definition 8.** For a plane subcubic digraph  $G$ , let  $f_0$  be the external face. Define the type  
 250  $\mathbf{type}^\circ(f)$  (respectively,  $\mathbf{type}^\circ(f)$ ) of a face to be the singleton set consisting of the distance  
 251 at which  $f$  lies from  $f_0$  in  $G^\circ$ :  $\{d^\circ(f_0, f)\}$  (respectively,  $\{d^\circ(f_0, f)\}$ ). Generalise this to  
 252 edges  $e$  by defining  $\mathbf{type}^\circ(e)$  (respectively  $\mathbf{type}^\circ(e)$ ) as the set consisting of the union of the  
 253  $\mathbf{type}^\circ$  (respectively,  $\mathbf{type}^\circ$ ) of the two faces adjacent to  $e$ , and to vertices  $v$  by defining as  
 254 the  $\mathbf{type}^\circ(v)$  (respectively  $\mathbf{type}^\circ(v)$ ) union of the  $\mathbf{type}^\circ$  (respectively,  $\mathbf{type}^\circ$ ) of the faces  
 255 incident on the vertex  $v$ .

256 The following is a direct consequence of subcubicity and the triangle inequality:

257 **► Lemma 9.** In every subcubic graph  $G$ , the cardinality  $|\mathbf{type}^\circ(x)|, |\mathbf{type}^\circ(x)|$  where  $x$   
 258 is a face, edge or a vertex is at least one and at most 2 and in the latter case consists of  
 259 consecutive non-negative integers.

260 Further, if  $v \in V(G)$  is such that  $|\mathbf{type}^\circ(v)| = 2$ , then there exist unique  $u, w \in V(G)$ ,  
 261 such that  $(u, v), (v, w) \in E(G)$  and  $|\mathbf{type}^\circ(u, v)| = |\mathbf{type}^\circ(v, w)| = 2$ .

262 In order to prove this, we first need a simple lemma:

263 **► Lemma 10.** Suppose  $(f_1, f_2)$  is a dual edge with weight 1 (and  $(f_2, f_1)$  is of weight 0) then,  
 264  $d^\circ(f_0, f_1) \leq d^\circ(f_0, f_2) \leq d^\circ(f_0, f_1) + 1$ .

265 **Proof.** From the triangle inequality  $d^\circ(f_0, f_1) \leq d^\circ(f_0, f_2) + d^\circ(f_2, f_1) = d^\circ(f_0, f_2)$ . Simil-  
 266 arly,  $d^\circ(f_0, f_2) \leq d^\circ(f_0, f_1) + d^\circ(f_1, f_2) \leq d^\circ(f_0, f_1) + 1$ . ◀

267 **Proof.** (of Lemma 9) Since each vertex  $v \in V(G)$  of a subcubic graph is incident on at  
 268 most 3 faces the only case in which  $|\mathbf{type}^\circ(v)| > 2$  corresponds to three distinct faces  
 269  $f_1, f_2, f_3$  being incident on a vertex. But here the undirected dual edges form a triangle  
 270 such that in the directed dual the edges with weight 1 are oriented either as a cycle or  
 271 acyclically. In the former case by three applications of the first half of Lemma 10 we get  
 272 that  $d^\circ(f_0, f_1) \leq d^\circ(f_0, f_2) \leq d^\circ(f_0, f_3) \leq d^\circ(f_0, f_1)$ , hence all 3 distances are the same.  
 273 Therefore  $|\mathbf{type}^\circ(v)| = 1$ .

274 In the latter case, suppose the edges of weight 1 are  $(f_1, f_2), (f_2, f_3), (f_1, f_3)$ , then  
 275 by Lemma 10 we get:  $d^\circ(f_0, f_1) \leq d^\circ(f_0, f_2), d^\circ(f_0, f_3) \leq d^\circ(f_0, f_1) + 1$ . Thus, both  
 276  $d^\circ(f_0, f_2), d^\circ(f_0, f_3)$  are sandwiched between two consecutive values  $d^\circ(f_0, f_1), d^\circ(f_0, f_1) + 1$ .  
 277 Hence  $d^\circ(f_0, f_1), d^\circ(f_0, f_2), d^\circ(f_0, f_3)$  must take at most two distinct values, and thus  
 278  $|\mathbf{type}^\circ(v)| \leq 2$ . Moreover either  $\mathbf{type}^\circ(f_1) \neq \mathbf{type}^\circ(f_2) = \mathbf{type}^\circ(f_3)$  or  $\mathbf{type}^\circ(f_1) =$   
 279  $\mathbf{type}^\circ(f_2) \neq \mathbf{type}^\circ(f_3)$ . Let  $e_1, e_2, e_3$  be such that,  $e_1^\circ = (f_2, f_3), e_2^\circ = (f_1, f_3), e_3^\circ =$   
 280  $(f_1, f_2)$ . Then the two cases correspond to  $|\mathbf{type}^\circ(e_1)| = |\mathbf{type}^\circ(e_2)| = 2, |\mathbf{type}^\circ(e_3)| = 1$   
 281 and to  $|\mathbf{type}^\circ(e_1)| = 1, |\mathbf{type}^\circ(e_2)| = |\mathbf{type}^\circ(e_3)| = 2$  respectively. Noticing that  $e_1, e_3$  are  
 282 both incoming or both outgoing edges of  $v$  completes the proof for the clockwise case. The  
 283 proof for the counterclockwise case is formally identical. ◀

284 ► **Definition 11.** For a plane subcubic graph  $G$  as above, we refer to vertices and edges with  
 285 a type of cardinality two in  $G^\circ$  (respectively, in  $G^\circ$ ) as red (respectively, blue) while the  
 286 ones with a cardinality of one as white. The resulting colored graphs are called  $\mathbf{red}(G)$  and  
 287  $\mathbf{blue}(G)$  respectively.

288 We will see later how to apply both the duals in  $G$  to get red and blue layerings of a  
 289 given input graph.

290 We enumerate some properties of  $\mathbf{red}(G)$ ,  $\mathbf{blue}(G)$  ( $G$  is subcubic):

- 291 ► **Lemma 12.** 1. Red vertices and edges in  $\mathbf{red}(G)$  form disjoint clockwise cycles.  
 292 2. No clockwise cycle in  $\mathbf{red}(G)$  consists of only white edges (and hence white vertices).  
 293 Similar properties hold for  $\mathbf{blue}(G)$ .

294 **Proof.** 1. Firstly, note that a red edge must have red end point vertices, as they are adjacent  
 295 to the same faces that the edge between them is adjacent to. It is immediate from  
 296 Lemma 9 that if  $v$  is a red vertex, it has exactly one red incoming edge and one red  
 297 outgoing edge, proving that they form disjoint cycles. Now consider a red cycle  $C$ . The  
 298 type of each edge of  $C$  must be the same, since if there are two consecutive edges in  $C$   
 299 of different types, it would make the common vertex adjacent to at least three vertices of  
 300 different types contradicting Lemma 9. This means that the distance in  $G^\circ$  of each face  
 301 bordering the “outside” of  $C$  from the external face is one less than the distance of each  
 302 face bordering the “inside” of  $C$ . But in any *counterclockwise* cycle, the distance in  $G^\circ$   
 303 from the external face to both sides of  $C$  are the same (by the way distances are defined  
 304 in  $G^\circ$ ). Thus  $C$  is clockwise.

305 2. Suppose  $C$  is a clockwise cycle. Consider the shortest path in  $G^\circ$  from the external face  
 306 to a face enclosed by  $C$ . From the Jordan curve theorem (Theorem 4.1.1 [15]), it must  
 307 cross the cycle  $C$ . The edge dual to the crossing must be red.

308 ◀

309 The definitions above, which apply only to subcubic plane graphs, can now be extended  
 310 to a general plane graph  $G$ , by considering the subcubic graphs  $\mathbf{Exp}^\circ(G)$  (and  $\mathbf{Exp}^\circ(G)$ ).  
 311 But first, we must make a simple observation about  $\mathbf{red}(\mathbf{Exp}^\circ(G))$  (respectively about  
 312  $\mathbf{blue}(\mathbf{Exp}^\circ(G))$ ).

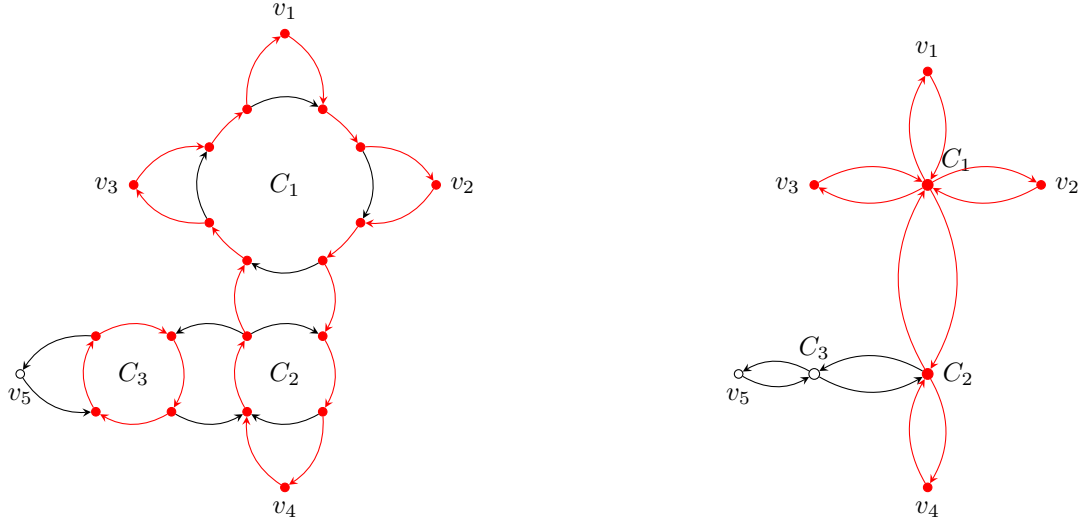
313 ► **Lemma 13.** Let  $v \in V(G)$  be a vertex of degree more than 3. Let  $C_v$  be the corresponding  
 314 expanded cycle in  $\mathbf{Exp}^\circ(G)$ . Suppose at least one edge of  $C_v$  is white in  $\mathbf{red}(\mathbf{Exp}^\circ(G))$  then  
 315 there is a unique red cycle  $C$  that shares edges with  $C_v$ .

316 **Proof.** First we note that  $C_v$  does not contain anything inside it since it is an expanded  
 317 cycle. By Lemma 12 we know that  $C_v$  has at least one red edge. Suppose it shares one or  
 318 more edges with a red cycle  $R_1$ . Since both cycles are clockwise and  $C_v$  has nothing inside,  
 319 the cycle  $R_1$  must enclose  $C_v$ . Now suppose there is another red cycle  $R_2$  that shares one or  
 320 more edges with  $C_v$ . Then  $R_2$  must also enclose  $C_v$ . But two cycles cannot enclose a cycle  
 321 whilst sharing edges with it without touching each other, which contradicts the above lemma  
 322 that all red cycles in a subcubic graph are vertex disjoint. ◀

323 The last two lemmas allow us to consistently contract the red cycles in  $\mathbf{red}(\mathbf{Exp}^\circ(G))$ :

324 ► **Definition 14.** The colored graph  $\mathbf{Col}^\circ(G)$  (respectively,  $\mathbf{Col}^\circ(G)$ ) is obtained by labeling  
 325 a degree more than 3 vertex  $v \in V(G)$  as red iff the cycle  $C_v$  in  $\mathbf{red}(\mathbf{Exp}^\circ(G))$  has at least  
 326 one red edge and at least one white edge. Else the color of  $v$  is white. All the low degree  
 327 vertices and edges of  $G$  inherit their colors from  $\mathbf{red}(\mathbf{Exp}^\circ(G))$ . The coloring of  $\mathbf{Col}^\circ(G)$   
 328 is similar.





■ **Figure 1** An example of contracting expanded cycles. The figure on right shows the graph after contracting the expanded cycles  $C_1, C_2, C_3$  according to definition 14

329 We can now characterize the colorings in the graph  $\mathbf{Col}^\circ(G)$ :

330 ► **Lemma 15.** *The following hold:*

- 331 1. *A red cycle in  $\mathbf{Col}^\circ(G)$  is vertex disjoint from every red cycle contained in its interior.*  
 332 2. *Every 2-connected component of the red subgraph of  $\mathbf{Col}^\circ(G)$  is a simple clockwise cycle.*

333 **Proof.** For  $v \in V(G)$ , let  $C_v \subseteq \mathbf{Exp}^\circ(G)$  be the expanded cycle. If it has a red vertex it is  
 334 immediately enclosed by a unique red cycle  $R$  in  $\mathbf{Exp}^\circ(G)$  by Lemma 13. Assuming  $C_v$  is  
 335 not all red, it consists of alternating red subpaths and white subpaths. On contracting  $C_v$  we  
 336 get a collection of clockwise red cycles outside sharing a common cut-vertex  $v$ . Notice that  
 337 the new collection of red cycles consists of edges that  $R$  did not share with  $C_v$ . Also notice  
 338 that (as a thought experiment) if we contracted the  $C_v$ 's that share a vertex with  $R$ , one at  
 339 a time we would get an edge-disjoint set of red cycles with distinct cut vertices. Therefore, in  
 340  $\mathbf{Col}^\circ(G)$ , the red subgraph consists of a collection of connected components, each of which  
 341 is a remnant of exactly one red cycle in  $\mathbf{Exp}^\circ(G)$ ; these connected components consist of  
 342 red cycles that touch externally at cut vertices. Hence both parts of the lemma follow. ◀

343 Although the above lemmas have been proved for the clockwise dual, they also hold for  
 344 counterclockwise dual with red replaced by blue.

## 345 5.2 Layering the colored graphs

346 ► **Definition 16.** *Let  $x \in V(\mathbf{Col}^\circ(G)) \cup E(\mathbf{Col}^\circ(G))$ . Let  $\ell^\circ(x)$  be one more than the*  
 347 *minimum integer that occurs in  $\mathbf{type}^\circ(x')$ , for each  $x' \in V(\mathbf{Exp}^\circ(G)) \cup E(\mathbf{Exp}^\circ(G))$  that*  
 348 *is contracted to  $x$ . Further let  $\mathcal{L}^k(\mathbf{Col}^\circ(G)) = \{x \in V(\mathbf{Col}^\circ(G)) \cup E(\mathbf{Col}^\circ(G)) : \ell^\circ(x) = k\}$ .*  
 349 *Similarly, define  $\ell^\circ(x)$  and  $\mathcal{L}^k(\mathbf{Col}^\circ(G))$ . We call  $\mathcal{L}^k(\mathbf{Col}^\circ(G))$  the  $k^{\text{th}}$  layer of the graph.*

350 See Fig 2 for an example. It is easy to see the following from Lemma 15:

351 ► **Proposition 17.** *For every  $x \in V(\mathbf{Col}^\circ(G)) \cup E(\mathbf{Col}^\circ(G))$  the quantity  $\ell^\circ(x)$  is one more*  
 352 *than the number of red cycles that strictly enclose  $x$  in  $\mathbf{Col}^\circ(G)$ . All the vertices and edges*

353 of a red cycle of  $\mathbf{Col}^\circ(G)$  lie in the same layer  $\mathcal{L}^{k+1}(\mathbf{Col}^\circ(G))$  for the enclosure depth  $k$  of  
 354 the cycle.

355 We had already noted above that the red subgraph of  $G$  had simple clockwise cycles as  
 356 its biconnected components. We note a few more lemmas about the structure of a layer of  $G$ :

357 ► **Lemma 18.** *We have:*

358 1. *A red cycle in a layer  $\mathcal{L}^{k+1}(\mathbf{Col}^\circ(G))$  does not contain any vertex/edge of the same layer*  
 359 *inside it.*

360 2. *Any clockwise cycle in a layer consists of only red vertices and edges.*

361 *Dually, a blue cycle in a layer does not contain any vertex or edge of the same layer inside it.*

362 ► **Remark 19.** Notice that the conclusion in the second part of the lemma fails to hold if we  
 363 allow cycles spanning more than one layer.

364 **Proof.** The first part is a direct consequence of Proposition 17. For the second part we mimic  
 365 the proof of the second part of Lemma 12. Consider a clockwise cycle  $C \subseteq \mathcal{L}^{k+1}(\mathbf{Col}^\circ(G))$   
 366 that contains a white edge  $e$ . Every face adjacent to  $C$  from the outside must have  $\mathbf{type}^\circ = k$   
 367 because  $C$  is contained in layer  $k + 1$ . Then the  $\mathbf{type}^\circ$  of the faces on either side of  $e$  is the  
 368 same and therefore must be  $k$ . Let  $f$  be a face enclosed by  $C$  that has  $\mathbf{type}^\circ(f) = k$ . Thus  
 369 it must be adjacent to a face of  $\mathbf{type}^\circ = k - 1$ . But this contradicts that every face inside  
 370 and adjacent to  $C$  must have  $\mathbf{type}^\circ$  at least  $k$ . ◀

371 The lemmas above show that the strongly-connected components of the red subgraph of a  
 372 layer consist of red cycles touching each other without nesting, in a tree like structure. This  
 373 prompts the following definition:

374 ► **Definition 20.** *For a red cycle  $R \subseteq \mathcal{L}^k(\mathbf{Col}^\circ(G))$  we denote by  $G_R$ , the graph induced by*  
 375 *vertices of  $\mathcal{L}^{k+1}(\mathbf{Col}^\circ(G))$  enclosed by  $R$ .*

376 Now we combine Definitions 14 and 16:

377 ► **Definition 21.** *Each vertex or edge  $x \in V(G) \cup E(G)$  gets a red layer number  $k + 1$  if it*  
 378 *belongs to  $\mathcal{L}^{k+1}(\mathbf{Col}^\circ(G))$  and a blue layer number  $l + 1$ , if it belongs to  $\mathcal{L}^{l+1}(\mathbf{Col}^\circ(G_R))$*   
 379 *where  $R \subseteq \mathcal{L}^k(\mathbf{Col}^\circ(G))$  is the red cycle immediately enclosing  $x$ .*

380 *Moreover this defines the colored graph  $\mathbf{Col}(G)$  by giving  $x$  the color red if it is red in*  
 381  *$\mathbf{Col}^\circ(G)$  and/or blue in  $\mathbf{Col}^\circ(G_R)$  (notice it could be both red and blue) and lastly white if it*  
 382 *is white in both the graphs. In this case, we say that  $x$  belongs to sublayer  $\mathcal{L}^{k+1,l+1}(\mathbf{Col}(G))$ .*

383 By Proposition 17, we can also say that a sublayer  $\mathcal{L}^{k+1,l+1}(\mathbf{Col}(G))$  thus consists of  
 384 edges/vertices that are strictly enclosed inside  $k$  red cycles and inside  $l$  blue cycles that are  
 385 contained *inside* the first enclosing red cycle.

386 We'll see some observations and lemmas regarding the structure of a sublayer now.

387 Since every edge/vertex in  $\mathcal{L}^{k+1,l+1}(\mathbf{Col}(G))$  has the same red AND blue layer number,  
 388 it is clear that there can be no nesting of colored cycles. Also we have:

389 ► **Lemma 22.** *Every clockwise cycle in a sublayer  $\mathcal{L}^{k+1,l+1}(\mathbf{Col}(G))$  consists of all red edges*  
 390 *and vertices and any every counterclockwise cycle in the sublayer consists of all blue vertices*  
 391 *and edges. (Some edges/vertices of the cycle can be both red as well as blue)*

392 **Proof.** This is a direct consequence of Lemma 18 applied to the sublayer  $\mathcal{L}^{k+1,l+1}(\mathbf{Col}(G))$ ,  
 393 which is a (counterclockwise) layer in graph  $G_R$  for some red cycle  $R$ . ◀

394 Thus we can refer to clockwise cycles and counterclockwise cycles as red and blue cycles  
395 respectively.

396 ► **Definition 23.** For a red or blue colored cycle  $C$  of layer  $\mathcal{L}^{k,l}(\mathbf{Col}(G))$ , we denote by  $G_C$   
397 the graph induced by vertices of  $\mathcal{L}^{k',l'}(\mathbf{Col}(G))$  enclosed by  $C$ , where  $\{k',l'\}$  is  $\{k+1,1\}$  or  
398  $\{k,l+1\}$  according to whether  $C$  is a red or a blue cycle respectively.

399 Note that:

400 ► **Proposition 24.** Two cycles of the same color in  $\mathcal{L}^{k+1,l+1}(G)$  cannot share an edge.

401 This is since neither is enclosed by the other as they belong to the same layer, and as they  
402 also have the same orientation. Cycles of different colors can share edges but we note:

403 ► **Lemma 25.** Two cycles of a sublayer  $\mathcal{L}^{k+1,l+1}(\mathbf{Col}(G))$  can only share one contiguous  
404 segment of edges.

405 **Proof.** Let a red cycle  $R$  and a blue cycle  $B$  in a sublayer share two vertices  $u, v$  but let the  
406 paths  $R(u, v), B(u, v)$  in the two cycles be disjoint. Notice that the graph  $(R \setminus R(u, v)) \cup B(u, v)$   
407 is also a clockwise cycle that encloses the edges of  $R(u, v)$  contradicting the first part of  
408 Lemma 18. ◀

409 We consider the strongly-connected components of a sublayer and note the following lemmas  
410 regarding them:

411 ► **Lemma 26.** The trivial strongly-connected components of a sublayer (those that consist  
412 of a single vertex) are white vertices. The non-trivial strongly-connected components of a  
413 sublayer have the following properties:

- 414 1. Every vertex/edge in them is blue or red (possibly both).
- 415 2. Every face, except possibly the outer face, is a directed cycle.
- 416 3. Every face other than the outer face has at least one edge adjacent to the outer face.

417 **Proof.** 1. In a non-trivial strongly-connected graph every vertex and edge lies on a cycle  
418 and therefore by Lemma 22 must be colored red or blue (or both).

419 2. Suppose there is a face  $f$  the boundary of which is not a directed cycle. Look at a  
420 directed dual (say clockwise) of the strongly-connected component (just the component  
421 independently). This dual must be a DAG since the primal is strongly-connected. The  
422 vertex  $f^*$  in the dual corresponding to face  $f$  of the strongly-connected component has  
423 in-degree at least one and out-degree at least one since it has boundary edges of both  
424 orientations, hence the edges adjacent to  $f^*$  do not form a directed cut of the dual.

425 Let  $o^*$  denote the dual vertex corresponding to the outer face of the strongly-connected  
426 component (SCC). In order to prove the claim, it is sufficient to show the existence of  
427 a directed cut  $C^*$  that separates  $f^*$  and  $o^*$ , since it would imply by cut cycle duality  
428 that there is a directed cycle  $C$  in the primal SCC that encloses the face  $f$  w.r.t the  
429 outer face and since the boundary of  $f$  is not a directed cycle,  $C$  must strictly enclose at  
430 least one edge of the boundary of  $f$  contradicting Lemma 18. To see the cut, consider a  
431 topological sort ordering of the dual (it is a DAG). Let the number of a dual vertex  $v^*$   
432 in the ordering be denoted by  $n(v^*)$ . W.l.o.g, let  $n(f^*) < n(o^*)$ . Consider the partition of  
433 the dual vertices:

$$434 \quad A = \{v^* \mid n(v^*) \leq n(f^*)\}, B = \{v^* \mid n(v^*) > n(f^*)\}$$

435 By definition of topological sort, all edges across this partition must be directed from  $A$   
 436 to  $B$ , hence it is a directed cut, and therefore it must also contain a subset which is a  
 437 minimal directed cut. But clearly the minimal cut is not the set of edges adjacent to  $f^*$   
 438 since it has both out and in-degree at least one, hence proving the claim. Hence every  
 439 face in the SCC of a sublayer must be a directed (hence colored) cycle (by Lemma 22).

440 3. Let  $H$  be an SCC of the sublayer. We observed from the proof above that no vertex in  
 441 the dual of  $H$ , except possibly the vertex corresponding to the outer face of  $H$ , can have  
 442 both in-degree and out-degree more than one. (i.e. every dual vertex, except the outer  
 443 face is a source or a sink). Therefore if any dual vertex  $f^*$  has a directed path to  $o^*$  or  
 444 vice versa, then the path must be an edge and we are done. Suppose there is no directed  
 445 path from  $f^*$  to  $o^*$  and w.l.o.g. let  $f^*$  be a source. Consider the trivial directed cut  $C_1$ :

$$446 \quad A = \{f^*\}, B = V(H) \setminus A$$

447 This is a cut since there are no edges from  $B$  to  $A$ , and this cut clearly corresponds to  
 448 the directed cycle which is the boundary of face  $f$  in  $H$ .

449 Now consider the cut  $C_2$ :

$$450 \quad A' = \{v^* \mid v^* \text{ is reachable from } f^*\}, B' = V(H) \setminus A'$$

451 Clearly this is a  $f^*$ - $o^*$  cut with no edge from a vertex in  $A'$  to a vertex in  $B'$  and  $o^* \in B'$ .  
 452 But this  $f^*$ - $o^*$  cut is different from  $C_1$  since  $f^*$  is a source vertex and hence  $A'$  has at  
 453 least one more vertex than just  $f^*$ . Hence this corresponds to a directed cycle in  $H$  that  
 454 strictly encloses at least some edge of  $f$ , and we again get a contradiction of Lemma 18.

455

◀

456 The strongly-connected components of a sublayer hence consist of intersecting red and  
 457 blue facial cycles, with every face having at least one boundary edge adjacent to the outer  
 458 face of the component.

459 ▶ **Definition 27.** We call the strongly-connected components of a sublayer  $\mathcal{L}(k, l)$  **meshes**.

## 460 6 Mesh Properties

461 ▶ **Definition 28.** Given a subgraph  $H$  of  $G$  embedded in the plane, we define the closure of  
 462  $H$ , denoted by  $\tilde{H}$ , to be the induced graph on the vertices of  $H$  together with the vertices of  
 463  $G$  that lie in the interior of faces of  $H$  (except for the outer face of  $H$ ).

464 For convenience, we call a face of a graph that is not the outer face an *internal face*.

465 From Lemmas 22 and 26, we have a bijection: every face of a mesh, except possibly its  
 466 outer face, is a directed cycle, and every directed cycle in a mesh is the boundary of a face of  
 467 the mesh.

468 ▶ **Definition 29.** Let  $0 < \alpha < 1$ . An  $\alpha$  separator of a digraph  $H$  that is a subgraph of a  
 469 digraph  $G$  is a set of vertices of  $H$  whose removal from  $H$  separates  $\tilde{H}$  into subgraphs, where  
 470 no strongly-connected component has size greater than  $\alpha|G|$ . A path separator is a sequence  
 471 of vertices  $\langle v_1, \dots, v_n \rangle$  that is a separator and also is a directed path.

472 ▶ **Definition 30.** Let  $G$  be a graph and let  $M$  be a mesh in a sublayer  $G$ . For an internal  
 473 face  $f$  of  $M$ , we define  $wt(f)$  to be  $|V(f)|$ . Let  $wt(H)$  where  $H$  is a subgraph of  $M$  be defined  
 474 as  $|V(\tilde{H})|$ .

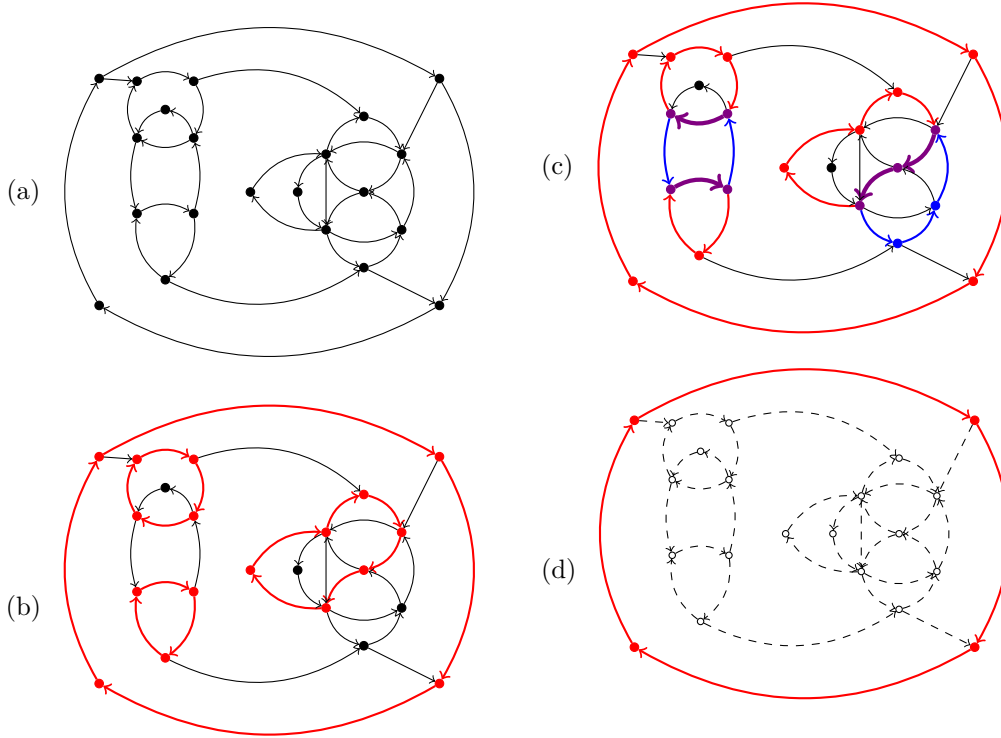


Figure 2 Figure (a) is a graph  $G$ . Figure (b) is the graph in (a) after labelling red edges using previous figure. The vertices and edges colored clockwise dual. We omit the cycle expansion and contraction procedure here.

Figure 3 Figure (c) shows  $G$  after applying blue labellings to each red layer we obtained in the previous figure. The vertices and edges colored purple are those that are red as well as blue. Figure (d) represents the sublayer  $(1, 1)$ . The dashed edges and empty vertices are not part of the layer.

475 ► **Definition 31.** For a mesh  $M$ , we call a vertex that is adjacent to the outer face of  $M$  an  
 476 external vertex, and a vertex that is not adjacent to the outer face an internal vertex. Also,  
 477 we call vertices of degree more than two junction vertices.

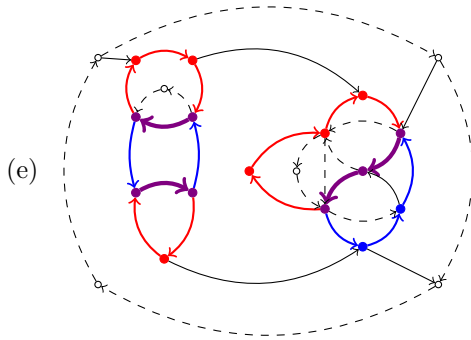
478 If  $p = \langle v_1, v_2, \dots, v_k \rangle$  is a directed path such that  $v_2, \dots, v_{k-1}$  are all vertices of degree  
 479 two, but  $v_1, v_k$  have degree more than two, then we call  $p$  a **segment**. We call  $v_k$  the out  
 480 junction neighbour of  $v_1$  and  $v_1$  the in junction neighbour of  $v_k$ .

481 We call a **segment** with all edges adjacent to the outer face an external **segment**, and  
 482 a **segment** with no edge adjacent to the outer face an internal **segment**. If the end points  
 483 of an internal **segment** are both internal vertices also, we call the segment an **i-i-segment**.

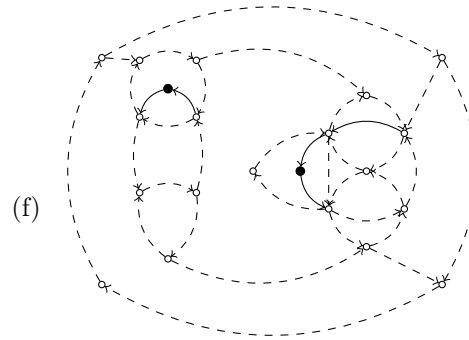
484 The rest of this section is devoted to a proof of the following, which asserts that we can  
 485 construct a path separator in a mesh, assuming that no internal face of the mesh is too large.  
 486

487 ► **Lemma 32.** Suppose  $wt(f) < wt(G)/12$  holds for every internal face  $f$  of a mesh  $M$  that  
 488 is a subgraph of  $G$ . Then from any external vertex  $r$  of  $M$ , we can find (in  $UL \cap co-UL$ ) an  
 489  $\frac{11}{12}$  path separator of  $M$ , starting at  $r$ .

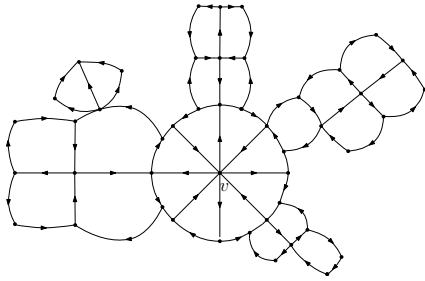
490 The high level idea is that using a clique sum decomposition of 2,3-cliques (see figure 13)  
 491 we find a “central” vertex  $v$  in the mesh  $M$ , such that we can find a path from the external  
 492 vertex  $r$  to  $v$ , and then extend the path around one of the faces adjacent to  $v$  to get the path



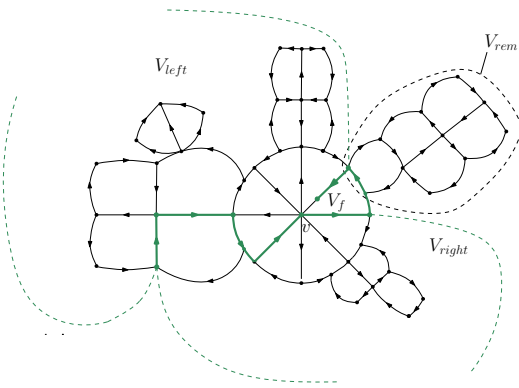
■ **Figure 4** Figure (e) figure represents the sub-layer (2, 1).



■ **Figure 5** Figure (f) represents the sublayer (3, 1).



■ **Figure 6** An example of a mesh



■ **Figure 7** An example of a path separator. The vertex  $v$  is a central node, and the green path is a separator.

493 separator (all faces are directed cycles by Lemma 26). Because every face touches the outer  
 494 face and the weight of every face is small by the hypothesis of the lemma, we can always find a  
 495 face adjacent to  $v$  to encircle such that removing the path leaves no large (weakly) connected  
 496 component. The vertices of  $M$  with degree two (in-degree 1 and out-degree 1 because  $M$  is  
 497 strongly connected) are not important since they can be seen as just “subdivision” vertices.  
 498 Now we will look at the structure of a mesh around an internal junction vertex, and the way  
 499 the rest of the mesh is attached to that structure. Also, we state here that we will abuse the  
 500 notion of 3-connected components by ignoring the non-junction vertices for convenience.

501 ► **Lemma 33.** *If  $v$  is an internal junction vertex of a mesh and  $e_1, \dots, e_k$  are the edges*  
 502 *adjacent to  $v$  in the cyclic order of embedding, then the edges alternate in directions i.e. if  $e_1$*   
 503 *is outgoing from  $v$ , then  $e_2$  is incoming to  $v$  and  $e_3$  is outgoing and so on. Consequently,  $v$*   
 504 *has even degree (at least 4).*

505 **Proof.** Let  $e_i, e_{i+1}$  be two edges adjacent to  $v$ , that are also adjacent in the cyclic order of  
 506 the drawing. Since they are adjacent in the drawing, they must enclose between them, a  
 507 region, and hence a face, which is not the outer face. But, by Lemma 26, the boundary of  
 508 every non-outer face in a mesh is a directed cycle, hence  $v, e_i, e_{i+1}$  lie on a directed cycle,  
 509 with both edges adjacent to  $v$ . Hence one of them must be an out edge from  $v$ , and the other  
 510 incident towards  $v$ . ◀



511 ► **Definition 34.** Let  $v$  be an internal junction vertex of degree  $2d$  in a mesh  $M$ , and let  
 512 its junction neighbours be  $(u_1, w_1, u_2, w_2, \dots, u_d, w_d)$  in clockwise order starting from edge  
 513  $\langle u_1, v \rangle$  (the  $w_i$ 's are out neighbours, and  $u_i$ 's the in neighbours, since junction neighbours  
 514 alternate).

515 Every adjacent pair of edges incident to  $v$  borders a face that is not the outer face. Let  
 516  $f_{u,v,w}$  denote the face bordered by  $v$  and the junction neighbours  $u$  and  $w$  of  $v$  which are  
 517 adjacent in cyclic order around  $v$ . The boundary of  $f_{u,v,w}$  can be written as three disjoint  
 518 parts (except for endpoints),  $\text{segment}(u, v) + \text{segment}(v, w) + \text{petal}_{w,u}$ , where the third  
 519 part denotes a simple path from  $w$  to  $u$  along the face boundary. We will use the notation  
 520  $\text{petal}_{w,u}$  to denote the corresponding boundary for any face  $f_{u,v,w}$  adjacent to  $v$ . We define  
 521  $\text{flower}(v)$  as  $\bigcup \{\text{vertices on boundary of faces adjacent to } v\}$  (See figure 8).

522 We note the following property of petals.

523 ► **Proposition 35.** For all adjacent junction neighbour pairs  $w_i, u_j$  of internal vertex  $v$ ,  
 524  $\text{petal}_{w_i, u_j}$  are disjoint, except possibly the end points.

525 **Proof.** (of Proposition 35) Petals of two faces must be internally disjoint because the  
 526 corresponding faces share the vertex  $v$  and two faces cannot have a non-contiguous intersection,  
 527 by Lemma 25. ◀

528 For an internal junction vertex  $v$ , the union of the petals around  $\text{flower}(v)$  thus form  
 529 an undirected cycle around  $v$ , with at least four alternations in directions. Now we define  
 530 bridges of the cycle, which roughly, are components of  $M$  we get after removing  $\text{flower}(v)$ ,  
 531 leaving the points of attachment intact. We use the formal definition of bridges from [35]:

532 ► **Definition 36.** For a subgraph  $H$  of  $M$ , a vertex of attachment of  $H$  is a vertex of  $H$  that  
 533 is incident with some edge of  $M$  not belonging to  $H$ . Let  $J$  be an undirected cycle of  $M$ . We  
 534 define a **bridge** of  $J$  in  $M$  as a subgraph  $B$  of  $M$  with the following properties:

- 535 1. each vertex of attachment of  $B$  is a vertex of  $J$ .
- 536 2.  $B$  is not a subgraph of  $J$ .
- 537 3. no proper subgraph of  $B$  has both the above properties.

538 We denote by **2-bridge**, bridges with exactly two vertices of attachment to the specified cycle,  
 539 and by **3-bridge**, bridges with three or more vertices of attachment.

540 Note that for the cycle formed by petals of  $\text{flower}(v)$ , the vertex  $v$  along with paths leading  
 541 to/ coming from  $\text{flower}(v)$  also form a bridge, but we call that a trivial bridge and do not  
 542 take it into consideration.

- 543 ► **Lemma 37.** 1. The vertices of attachment of a **2-bridge** of  $\text{flower}(v)$  must both lie on  
 544 one **petal** of  $\text{flower}(v)$ .
- 545 2. The vertices of attachment of a **3-bridge** of  $\text{flower}(P)$  can lie on one or, at most two  
 546 adjacent petals. Moreover, in the latter case the junction neighbour of  $v$  common to both  
 547 petals must be a vertex of attachment of the **3-bridge**.
- 548 3. For an internal vertex  $v$ , and an external vertex  $r$  of  $M$ , let  $p = \langle r, \dots, u_1, v \rangle$  be a  
 549 simple path from  $r$  to  $v$ , where  $u_1$  is an in junction neighbour of  $v$ . Let the other  
 550 junction neighbours of  $v$  be named as in Definition 34 in cyclic order from  $u_1$ . For  $j \in$   
 551  $\{i, i+1\}$ , consider an extended path of  $p$ ,  $p_{w_i, u_j} = \langle r, \dots, u_1, v, w_i \rangle + \text{petal}_{w_i, u_j} + \langle u_j, \dots, v \rangle$ ,  
 552 excluding the last edge incident to  $v$  in the sequence. That is,  $p_{w_i, u_j}$  goes from  $r$  to  $v$ ,  
 553 then to an out junction neighbour  $w_i$ , and then wraps around  $f_{u_j, v, w_i}$  by taking  $\text{petal}_{w_i, u_j}$   
 554 and then the segment back towards  $v$  from  $u_j$ . If there is a bridge of  $\text{flower}(v)$  of which

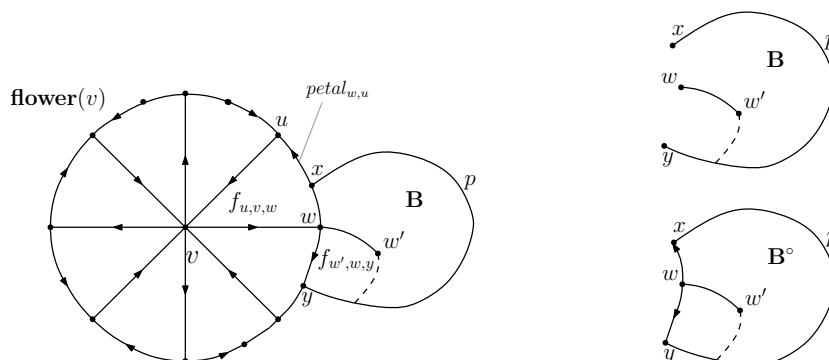
555  $u_1$  is a point of attachment and which also includes the edge of  $p$  incoming to  $u_1$ , we  
 556 denote it by  $B_{in}$ . The set  $V(\widetilde{M}) \setminus V(p_{w_i, u_j})$  can be partitioned into four disconnected  
 557 parts, say  $V_{left}$  and  $V_{right}$ ,  $V_f$ ,  $V_{rem}$  such that:

$$\begin{aligned}
 559 \quad V_{left} &= (\{\widetilde{f}_{u_1, v, w_1} \cup \widetilde{f}_{u_2, v, w_1} \cup \widetilde{f}_{u_2, v, w_2} \dots \cup \widetilde{f}_{u_i, v, w_{i-1}}\} \cup \{\widetilde{f}_{u_i, v, w_i} \text{ if } j = i + 1\} \\
 560 \quad &\cup \{\text{vertices in closure of bridges attached to the petals of these faces, excluding } B_{in}\} \\
 &\cup \{\text{the "left" part of } B_{in} \text{ (See figure 12)}\}) \setminus V(p_{w_i, u_j}) \\
 559 \quad V_{right} &= (\{\widetilde{f}_{u_i, v, w_{i+1}} \cup \widetilde{f}_{u_{i+2}, v, w_{i+1}} \dots \cup \widetilde{f}_{u_d, v, w_d}\} \cup \{\widetilde{f}_{u_{i+1}, v, w_i} \text{ if } j = i\} \\
 560 \quad &\cup \{\text{vertices in closure of bridges attached to petals petals these faces, excluding } B_{in}\} \\
 &\cup \{\text{the "right" part of } B_{in} \text{ (See figure 12)}\}) \setminus V(p_{w_i, u_j}) \\
 561 \quad V_f &= \widetilde{f}_{u_j, v, w_i} \setminus V(p_{w_i, u_j}) \\
 562 \quad V_{rem} &= (\bigcup \{\text{vertices in closure of all bridges that have vertices} \\
 564 \quad &\text{of attachment only in } \text{petal}_{w_i, u_j}\}) \setminus V(p_{w_i, u_j}).
 \end{aligned}$$

565 such that there is no undirected path between any vertex of one of these four sets to  
 566 any vertex of another. The path  $p_{w_i, u_i}$  is therefore a path separator that gives these  
 567 components.

568 **Proof. 1.** Let  $x, y$  be the two vertices of attachment of the **2-bridge**  $B$  on  $\mathbf{flower}(v)$ . Since  
 569 bridges are connected graphs without the edges of the corresponding cycle (by the 3<sup>rd</sup>  
 570 property of definition 36), there must be an undirected path,  $p$  in the **bridge** connecting  
 571  $x, y$ , without using any edge of  $\mathbf{flower}(v)$ . If  $x$  and  $y$  were *not* on the same petal, then this  
 572 path along with the other petals in  $\mathbf{flower}(v)$ , must clearly enclose a junction neighbour  
 573 of  $v$ , say  $w$  (see Figure 8). Thus  $w$  is not adjacent to the outer face. Now since  $w$  is  
 574 an internal junction vertex, and two of its adjacent faces are also adjacent to  $v$ , look at  
 575 another face  $f$  adjacent to  $w$  and not adjacent to  $v$ . (Internal junction vertices have at  
 576 least four adjacent faces.) The boundary of this face cannot touch  $B$  since that would  
 577 make it a part of  $B$  and consequently  $w$  would be a vertex of attachment of  $B$  to  $\mathbf{flower}(v)$ .  
 578 Therefore the boundary of  $f$  is enclosed within the paths  $p$  and the part of  $\mathbf{flower}(v)$   
 579 that is also enclosed by  $p$ . Therefore  $f$  has no external edge, contradicting Lemma 26.  
 580 2. Let  $x_1, x_2, \dots, x_k$  be the vertices of attachment of the bridge  $B$  on  $\mathbf{flower}(v)$ , in the  
 581 cyclic order of boundary of  $\mathbf{flower}(v)$ . Clearly if the vertices of attachment lie on more  
 582 than two petals of  $v$ , then at least one petal will be completely enclosed by  $B$ , which is  
 583 not possible since every petal must have at least one external edge. Let us say they lie on  
 584 two adjacent petals, and the junction neighbour common to both of them is  $w$ . By the  
 585 same argument as above,  $w$  must have an edge other than those of adjacent petals of  $v$ ,  
 586 that connect it to  $B$ . Therefore  $w$  must be a vertex of attachment of  $B$  to  $\mathbf{flower}(v)$ .  
 587 3. First we note that  $\text{petal}_{w_i, u_j}$  will have an external vertex in it since the boundary of every  
 588 face has at least one external vertex (Lemma 26), and segments  $(u_j, v)$  and  $(v, w_i)$  are  
 589 internal. Let  $z$  be an external vertex on  $\text{petal}_{w_i, u_j}$ . The path  $p$  starts at external vertex  $r$ ,  
 590 comes to  $u_1, v, w_i$ , and reaches external vertex  $z$  on its way back to  $v$ . It will clearly divide  
 591  $\widetilde{M}$  into at least two parts by Jordan Curve theorem. Since  $p_{w_i, u_j}$  is just a wrap around  
 592 the face  $f_{u_j, v, w_i}$  after  $z$ , is clear that since  $w_1, u_2, \dots, w_{i-1}$  and everything connected to  
 593 them after removing  $p$  lie in one region, which we call  $V_{left}$ , and  $w_{i+1}, u_{i+2}, \dots, w_d$  and  
 594 everything connected to them after removing  $p$  lie in another, and vertices of  $\widetilde{f}_{u, v, w}$  lie in  
 595 another disconnected region since  $p$  wraps around  $f_{u, v, w}$ .

596 ◀



■ **Figure 8** A vertex  $v$  and  $\text{flower}(v)$ .  $B$  is a **bridge** with two points of attachment  $x, y$  on two different petals of  $\text{flower}(v)$ . On the right are drawn the **bridge**  $B$  itself, and its closed version  $B^\circ$ . The only way the boundary of  $f_{w',w,y}$  can have an external edge is if it touches  $B$ , making  $w$  a point of attachment of  $B$  also.

597 We introduce another notation for an extension of a bridge:

598 ► **Definition 38.** For a **bridge**  $B$  of  $\text{flower}(v)$ , we define  $B^\circ$  as  $B$  along with **segments**  
 599 of  $\text{flower}(v)$  that lie between consecutive vertices of attachment of  $B$ . We call this the  
 600 **closed bridge** of  $B$ .

601 Now we will give definitions/lemmas regarding the “internal structure” of meshes, that will  
 602 be useful to define the “center” of a mesh.

603 ► **Definition 39.** For a mesh  $M$ , we call its **internal-skeleton**, denoted by  $I(M)$ , the  
 604 induced subgraph on the vertices of **i-i-segments** of  $M$ . (See figure 10)

605 ► **Lemma 40. 1.** For a **mesh**  $M$ , the graph  $I(M)$  is a forest.  
 606 **2.** If  $H$  is a 3-connected induced subgraph of  $M$  (ignoring subdivision vertices), then  $I(H)$  is  
 607 a tree.

608 **Proof. 1.** Suppose there were an undirected cycle in  $M$  of all internal segments, then this  
 609 cycle must enclose a face whose boundaries are also all internal segments. This contradicts  
 610 Lemma 26 as it states that every face must have at least one external edge, and hence  
 611 segment. Hence there can be no cycle (directed or undirected) consisting of all internal  
 612 segments, and consequently, no cycle (directed or undirected) of all internal vertices.

613 **2.** Let  $H$  be a 3-connected induced subgraph of  $M$ . By definition,  $I(H)$  is obtained from  $M$   
 614 by removing all external edges and external non-junction vertices. Suppose  $I(H)$  is not a  
 615 tree, and hence consists of two or more disconnected trees. Let  $T_1$  and  $T_2$  be any two  
 616 trees in  $I(H)$ . Let  $x$  be a vertex in  $T_1$  and  $y$  be a vertex in  $T_2$ . Since  $H$  is 3-connected,  
 617 there must be at least three disjoint paths (undirected) between  $x$  and  $y$ . Clearly in a  
 618 planar graph, if there are three disjoint paths between two vertices, one of the paths must  
 619 be strictly enclosed in the closed region formed by other two. Therefore there must a  
 620 path between  $x$  and  $y$  that is strictly enclosed inside the boundary of  $H$ , and hence does  
 621 not contain any edge or vertex adjacent to the outer face of  $H$ . Hence  $x$  and  $y$  cannot  
 622 become disconnected after removing external edges and external non-junction vertices  
 623 leading to a contradiction that  $I(H)$  is disconnected. Therefore  $I(H)$  must be a tree.

624 ◀

625 We state a well-known proposition about a vertex separator in a tree  $T$  with weighted  
626 nodes, without the proof.

627 ► **Proposition 41.** *Suppose  $T$  is a tree with each node having a weight assigned to it. Let*  
628  *$wt(T')$  denote sum of weights of each node in a subgraph  $T'$  of  $T$ . Then there exists a node*  
629  *$v_c$  or a pair of adjacent nodes  $v_{c_1}, v_{c_2}$ , such that after removing it (or them in case of a pair),*  
630 *no connected component in the remaining forest has weight more than  $\frac{1}{2}wt(T)$ .*

631 We will next give a procedure to define a “center” of a mesh.

632 ► **Definition 42.** *For a mesh  $M$ , let  $T_M$  denote the tree obtained by the 1,2-clique sum*  
633 *decomposition of  $M$ . The nodes of  $T_M$  are of two types, clique nodes (cut vertices or separating*  
634 *pairs), and piece nodes, which are either 3-connected parts or cycles. Every piece node is*  
635 *adjacent to a clique node and vice-versa. (See [12, Section 3.1] for background about this*  
636 *decomposition.)*

637 *Consider the  $\frac{1}{2}$  separator node of  $T_M$  as described in Proposition 41. If it is a separating*  
638 *pair, a cut vertex, or a face cycle, we call that subgraph the **center** of  $M$ .*

639 *If it is a 3-connected node  $P$ , look at its internal skeleton  $I(P)$ . We construct a new*  
640 *graph  $I'(P)$  which is isomorphic to  $I(P)$  but has edges directed differently. let  $u, v$  be two*  
641 *adjacent internal junction vertices of  $M$ . To give direction to a **segment**( $u, v$ ) in  $I'(P)$ , we*  
642 *consider the unique **bridge**  $B$  of **flower**( $u$ ) that contains  $v$  as a point of attachment; we*  
643 *denote the **closed bridge** of  $B$  by  $\mathbf{B}_u^\circ(v)$ .  $\mathbf{B}_v^\circ(u)$  is defined analogously. We orient ( $u, v$ ) in*  
644 *the direction of the heavier of  $\mathbf{B}_u^\circ(v)$  and  $\mathbf{B}_v^\circ(u)$  (breaking ties arbitrarily), where the weights*  
645 *of  $\mathbf{B}_u^\circ(v), \mathbf{B}_v^\circ(u)$  are  $|\widetilde{\mathbf{B}_u^\circ(v)}|$  and  $|\widetilde{\mathbf{B}_v^\circ(u)}|$ , respectively.*

646 *The **center** of  $M$  is defined to be **flower**( $v$ ) in this case, where  $v$  is the sink node of*  
647  *$I'(P)$ .*

648 We show why  $I'(P)$  cannot have more than one sink.

649 ► **Lemma 43.** *The tree  $I'(P)$  defined above will have exactly one sink vertex.*

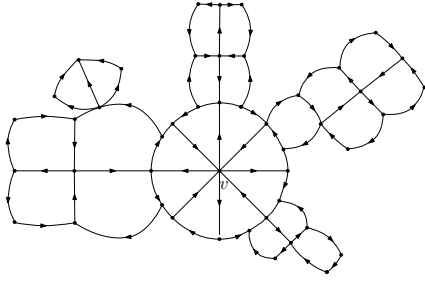
650 **Proof.** Suppose  $I'(P)$  has two junction vertices  $x$  and  $y$  that are sinks. They cannot be  
651 adjacent, so consider the unique undirected path in  $I'(P)$  between  $x$  and  $y$ . There must be a  
652 source  $z$  on the path. Let neighbours of  $z$  be  $x', y'$ , lying on the path from  $x$  to  $z$  and from  $z$   
653 to  $y$  respectively.

654 Let  $\mathbf{B}_z^\circ(x')$  and  $\mathbf{B}_z^\circ(y')$  denote the **bridges** of **flower**( $z$ ) with points of attachments  $x'$   
655 and  $y'$  respectively. Then by the orientations of the edges we have:  $|\widetilde{\mathbf{B}_z^\circ(x')}| \geq |\widetilde{\mathbf{B}_{x'}^\circ(z)}|$   
656 which gives  $|\widetilde{\mathbf{B}_z^\circ(x')}| > |\widetilde{\mathbf{B}_z^\circ(y')}|$  since  $\mathbf{B}_z^\circ(y')$  is clearly a proper subgraph of  $\mathbf{B}_{x'}^\circ(z)$  and  
657  $|\widetilde{\mathbf{B}_z^\circ(y')}| \geq |\widetilde{\mathbf{B}_{y'}^\circ(z)}|$  which gives  $|\widetilde{\mathbf{B}_z^\circ(y')}| > |\widetilde{\mathbf{B}_z^\circ(x')}|$  which is clearly a contradiction. ◀

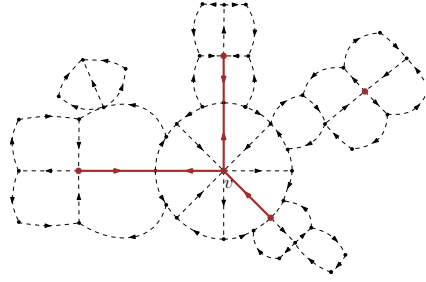
658 ► **Lemma 44.** *If the **center** of  $M$  is **flower**( $v$ ), and  $w$  is an out neighbor of  $v$ , then*  
659  *$wt(\mathbf{B}_v^\circ(w)) \leq \frac{1}{2}(wt(\widetilde{M}) - wt(V_{rem}(u, w)))$ , where  $u$  is either of the two in neighbors of  $v$  that*  
660 *are adjacent to  $w$  around **flower**( $v$ ).*

661 **Proof.** Since the **center** is **flower**( $v$ ), we have that  $wt(\mathbf{B}_v^\circ(w)) \leq wt(\mathbf{B}_w^\circ(v))$ . But  $V_{rem}(u, w)$   
662 has empty intersection with each of  $\mathbf{B}_v^\circ(w)$  and  $\mathbf{B}_w^\circ(v)$ . Thus  $wt(\mathbf{B}_v^\circ(w)) + wt(\mathbf{B}_w^\circ(v)) \leq$   
663  $wt(\widetilde{M}) - wt(V_{rem}(u, w))$ . The lemma follows. ◀

664 ► **Lemma 45.** *1. If the **center** of  $M$  is not of the form **flower**( $v$ ) where  $v$  is an internal*  
665 *node of a 3-connected component, then removing it from  $\widetilde{M}$  disconnects  $\widetilde{M}$  into weakly-*  
666 *connected components, each with weight less than  $\frac{1}{2}wt(\widetilde{M})$ .*



■ **Figure 9** An example of a mesh



■ **Figure 10** The internal skeleton of the mesh. One of its components is a single node.

667 2. If the **center** of  $M$  is  $\mathbf{flower}(v)$  for an internal node  $v$  of a 3-connected component  $P$ ,  
 668 then on removing  $\mathbf{flower}(v)$  from  $\widetilde{M}$ , no weakly-connected component has weight more  
 669 than  $\frac{1}{2}wt(\widetilde{M})$ .

670 **Proof.** 1. This follows from the vertex separator lemma for trees with weighted vertices.

671 2. This follows from the  $v$  being the sink node of  $I'(P)$ .

672

673 ▶ **Lemma 46.** For every possible path  $p_{w_i, u_j}$  around  $v$  as defined in Lemma 37,  $V_{rem}$  consists  
 674 of a disjoint union of weakly-connected components, each of which has weight  $\leq \frac{1}{2}(wt(M))$ .

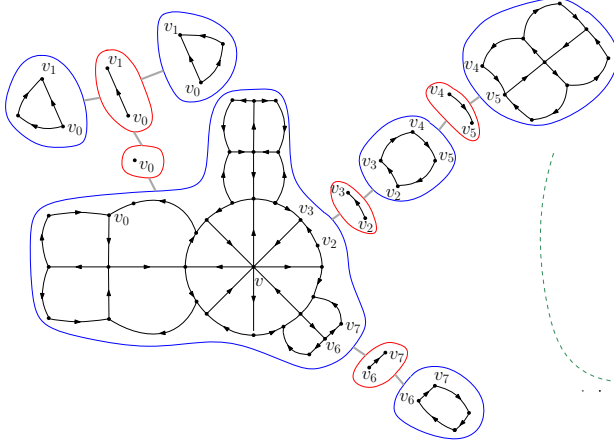
675 **Proof.** A (weakly-connected) component of  $V_{rem}$  is a bridge, attached to  $petal_{w_i, u_i}$  or to  
 676  $petal_{w_i, u_{i+1}}$  via its vertices of attachment. In the clique sum decomposition, these vertices  
 677 of attachment will always contain a 1 or 2 separating clique, since if a bridge is attached  
 678 to a petal via three or more nodes, the first and the last vertices of attachment form a  
 679 separating pair that separates the bridge from  $\mathbf{flower}(v)$ . Hence it is a branch remaining in  
 680  $T_M$  after removing the 3-connected piece node that is central in  $T_M$ . Since every branch  
 681 after removal of the central piece of  $T_M$  has weight  $\leq \frac{1}{2}(wt(M))$ , every (weakly) connected  
 682 component of  $V_{rem}$  has weight  $\leq \frac{1}{2}(wt(M))$ .

683 For a path  $p_{w_i, u_j}$  (where  $j \in \{i, i+1\}$ ) we sometimes use the notation  $V_{rem}(w_i, u_j)$  to  
 684 specify the petal where the bridges of  $V_{rem}$  are attached.

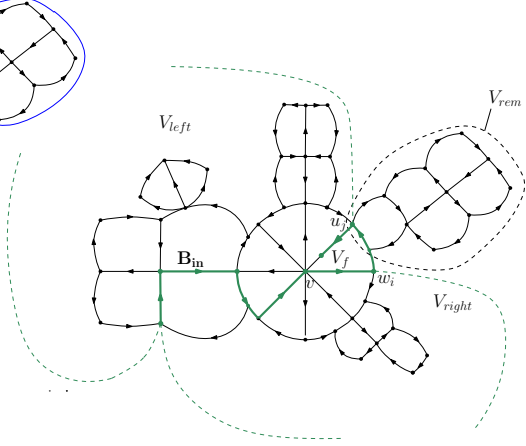
## 685 6.1 Mesh Separator Algorithm

686 Now we give the algorithm to find an  $\alpha$  separator in a mesh  $M(G)$ , assuming the hypothesis  
 687 of Lemma 32.

- 688 1. Find the decomposition tree,  $T_M$  of  $M$  with 2-cliques and 1-cliques as the separating sets.
- 689 2. Find the **center** of the mesh  $M$ . It will either be a cut vertex, a separating pair, a cycle,  
 690 or  $\mathbf{flower}(v)$  for some internal vertex  $v$ .
- 691 3. If it is a cut vertex, we just find a path from the root  $r$  to it. If it is a separating pair  
 692  $(u, v)$ , both the vertices must lie on a same face, which is a directed cycle. In both this  
 693 case, and also the case in which the **center** is a cycle, find a path from the root to any  
 694 vertex of the face that touches it the first time, and then extend the path by encircling  
 695 the cycle.
- 696 4. If it is  $\mathbf{flower}(v)$  for some internal vertex  $v$ , find a path  $p = \langle r, \dots, u_1, v \rangle$  to  $v$ . Let the  
 697 junction neighbours of  $v$  in clockwise order starting from  $(u_1, v)$ , be  $w_1, u_2, w_2, \dots, w_d$ ,  
 698 with the  $w$ 's being out junction neighbours and the  $u$ 's being in junction neighbours.  
 699 Starting clockwise from segment  $\langle u, v \rangle$ , find the first index  $i$  and  $j \in \{i, i+1\}$  s.t.



■ **Figure 11** The tree decomposition of the mesh using 1,2-clique sums. The nodes encircled red are clique separator nodes.



■ **Figure 12** An example of a path separator. The vertex  $v$  is a central node, and the green path is a separator.

700 after removing the extended path  $p_{w_i, u_j}$ , (defined in Lemma 37) the remaining strongly  
701 connected components are smaller than  $\frac{11}{12}wt(G)$ .

702 The algorithm above can clearly be implemented in logspace with an oracle for planar  
703 reachability, and thus it can be implemented in  $UL \cap co-UL$ .

704 It remains to show that the “first  $i$ ” mentioned in the final step actually exists.

705 ► **Lemma 47.** *If the center of  $M$  is  $\text{flower}(v)$  for some internal vertex  $v$ , then there will*  
706 *always exist an adjacent face  $f_{u_i, v, w_i}$  s.t. the path  $p_{w_i, u_i}$  is an  $\frac{11}{12}$ -separator.*

707 **Proof.** We have the following two cases

708 1. For some  $i$  and  $j \in \{i, i+1\}$ ,  $wt(V_{rem}(w_i, u_j)) \geq \frac{1}{2}wt(M)$ .

709 Then by Lemma 46,  $p_{w_i, u_j}$  separates  $V_{rem}(w_i, u_j)$  from the rest of the graph, and also  
710 every weakly connected component in  $V_{rem}(w_i, u_j)$  has weight  $\leq \frac{1}{2}wt(M)$ . Hence every  
711 weakly connected component in  $M$  after removing  $p_{w_i, u_j}$  has weight  $\leq \frac{1}{2}wt(M)$ .

712 2. For every  $p_{w_i, u_j}$ ,  $wt(V_{rem}(w_i, u_j)) \leq \frac{1}{2}wt(M)$ .

713 We know that for any index  $i$  and  $j \in \{i, i+1\}$ , if  $f = f_{u_j, v, w_i}$ , then  $wt(f) \leq wt(G)/12$   
714 by the hypothesis of Lemma 32. Starting clockwise from  $p_{u_1, w_1}$ , at first  $V_{left}$  is small,  
715 and on shifting from  $p_{w_i, u_i}$  to  $p_{w_i, u_{i+1}}$  or from  $p_{w_i, u_{i+1}}$  to  $p_{w_{i+1}, u_{i+1}}$ , the increase in  $V_{left}$   
716 is bounded above by  $wt(f) + wt(V_{rem}(w_i, u_j)) + wt(\widetilde{\mathbf{B}}_v^o(w_i))$ . Recall that

717 a.  $wt(f) \leq wt(G)/12$  (by the hypothesis of Lemma 32).

718 b.  $wt(V_{rem}(w_i, u_j)) \leq \frac{1}{2}wt(M)$  (by hypothesis for this case).

719 c.  $wt(\widetilde{\mathbf{B}}_v^o(w_i)) \leq \frac{1}{2}(wt(M) - wt(V_{rem}(w_i, u_j)))$  (by Lemma 44).

720 Thus the addition to  $V_{left}$  in each iteration is  $\leq \frac{1}{12}wt(G) + wt(V_{rem}(w_i, u_j)) + \frac{1}{2}(wt(M) -$   
721  $\frac{1}{2}(wt(V_{rem}(w_i, u_j))))$ , which is equal to  $\frac{1}{12}wt(G) + \frac{1}{2}wt(V_{rem}(w_i, u_i)) + \frac{1}{2}(wt(M)) \leq$   
722  $\frac{1}{12}wt(G) + \frac{3}{4}wt(M)$ . Thus we can stop the first time  $wt(V_{left})$  is greater than  $wt(G)/12$ .  
723 So, we have  $wt(V_{left}) \leq \frac{2}{12}wt(G) + \frac{3}{4}wt(M) \leq \frac{11}{12}wt(G)$ , and  $wt(V_{right}) \leq \frac{11}{12}wt(M)$ , and  
724  $wt(f) \leq \frac{1}{12}wt(M)$ , and  $wt(V_{rem}) \leq \frac{1}{2}wt(M)$ . Thus we have an upper bound of  $\frac{11}{12}wt(G)$   
725 on all the disconnected components. Hence  $p_{x_i, w_i}$  is a  $\frac{11}{12}$  path separator.



## 7 Path separator in a planar digraph

Having seen how to construct a path separator in a **mesh**, we now show how to use that to construct an  $\frac{11}{12}$  separator in any planar digraph.

1. Given a graph  $G$ , first embed the graph so that the root  $r$  lies on the outer face. Through the root, draw a virtual directed cycle  $C_0$  that encloses the entire graph, and orient it, say clockwise. Find the layering described in Section 5 and output it on a transducer. Cycle  $C_0$  will be colored red and will be in the sublayer  $(0, 0)$ .
2. In the laminar family of red/blue cycles, find the cycle  $C$  s.t.  $wt(C)$  is more than  $|G|/12$ , but no colored cycle  $C'$  in the interior of  $C$  has the same property. Such a cycle will clearly exist (it could be the virtual cycle  $C_0$ ). Let the sublayer of  $C$  be  $(k, l)$ .
3. Find a path  $p$  from the root  $r$  to any vertex  $r_C$  of the cycle  $C$  such that no other vertex of  $C$  is in the path. As seen above in Lemma 26, the graph in the interior of  $C$  and belonging to the immediately next sublayer  $((k + 1, l)$  if  $C$  is clockwise and  $(k, l + 1)$  if  $C$  is counter-clockwise) is a DAG of meshes. There are two cases possible:
  - a. The graph  $\tilde{C}$  has no strongly-connected components of weight larger than  $|G|/12$ . In this case we simply extend the path  $p$  from  $r_C$  by encircling the cycle  $C$  till the last vertex and stop.
  - b. The graph  $\tilde{C}$  has a strongly-connected component of weight more than  $|G|/12$ . In this case, we extend  $p$  from  $r_C$  by encircling  $C$  till the last vertex  $u$  on  $C$  that can reach any such component  $M_C$ . Then extend the path from  $u$  to any vertex of  $M_C$  and apply the mesh separator lemma (Lemma 32) to obtain the desired separator. (Observe that  $M_C$  satisfies the hypothesis of Lemma 32.)

► **Lemma 48.** *The path  $p$  obtained by the above procedure is an  $\frac{11}{12}$  separator.*

**Proof.** We look at the two cases from step 3 in the algorithm:

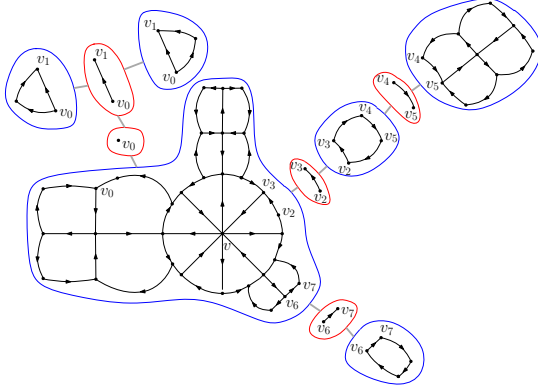
1. In this case it is clear that the interior and exterior of cycle  $C$  are disconnected by  $p$ . The exterior of  $C$  has size  $\leq \frac{11}{12}|G|$  (by definition of  $C$ ), and in its interior every strongly-connected component has weight at most  $|G|/12$ . Thus this satisfies the definition of an  $\frac{11}{12}$  separator.
2. We took the last edge in  $C$  from  $r_C$  that can reach the mesh  $M_C$ , and extended the path to  $M_C$ . Thus after removing  $p$ , one weakly-connected component consists of the exterior of  $G$ , along with (possibly) some vertices in the interior of  $C$  that cannot reach any “large” mesh in the interior. Since  $M_C$  has weight greater than  $\frac{1}{12}|G|$ , no strongly-connected component embedded outside of  $M_C$  can have weight more than  $\frac{11}{12}|G|$ . Also, after removing path  $p$ , Lemma 32 guarantees that no other strongly-connected component will have weight more than  $\frac{11}{12}|G|$ . Thus this is an  $\frac{11}{12}$  separator.

Hence overall we can guarantee an  $\frac{11}{12}$  path separator in  $G$ . ◀

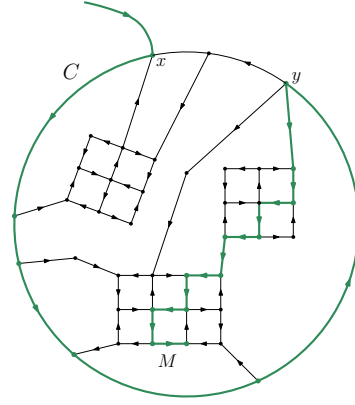
## 8 Building a DFS tree using path separators

Given a graph  $G$ , one can determine in logspace if  $G$  is planar, and then compute a planar embedding [6, 30]. Thus it will suffice to give a recursive divide and conquer algorithm for DFS, assuming that  $G$  is presented embedded in the plane, and that we are given a root vertex  $r$  on the outer face.

A single phase of the algorithm starts with  $G$  and  $r$ , and creates a sequence of subgraphs, each of size at most  $\frac{11}{12}$  the size of  $G$ . The algorithm then computes DFS trees for each



■ **Figure 13** The tree decomposition of the mesh using 1,2-clique sums. The nodes encircled red are clique separator nodes.



■ **Figure 14** The cycle  $C$  is a cycle satisfying the property stated in step 2 of the algorithm. The mesh  $M$  in the next sublayer is heavy, so we find a path from the last vertex on  $C$  that can reach  $M$  (in this case  $y$ ), and then apply the algorithm of previous section on  $M$ .

770 of those graphs (recursively), and the results of (some of) the graphs are sewn together to  
 771 obtain a DFS tree for  $G$ . Each phase can be computed in  $\text{AC}^0(\text{UL} \cap \text{co-UL})$ , and hence the  
 772 entire algorithm can be implemented in  $\text{AC}^1(\text{UL} \cap \text{co-UL})$ .

773 We now describe a single phase in more detail.

- 774 1. Given a planar drawing of  $G$  and a root vertex on the outer face  $r$ , find an  $\frac{11}{12}$  path  
 775 separator  $p = \langle r, v_1, v_2, \dots, v_k \rangle$ , as described in Section 7. Path  $p$  is included in the DFS tree.
- 776 2. Let  $R(v)$  denote the set of vertices of  $G$  reachable from  $v$ . Now for every vertex  $v_i$  in  
 777  $p$  compute in parallel:  $R'(v_i) = R(v) \setminus (\bigcup_{j=i+1}^k R(v_j))$ . Our DFS will correspond to first  
 778 traveling along  $p$  to  $v_k$ , doing DFS on  $R(v_k)$ , and then while backtracking on  $p$ , do DFS  
 779 on  $R'(v_i)$  for  $i$  from  $k-1$  down to 1. Given  $G$ , the encodings of  $p$  and  $R'(v_i)$  can all be  
 780 computed in  $\text{AC}^0(\text{UL} \cap \text{co-UL})$ .
- 781 3. For any  $v_i$ ,  $R'(v_i)$  can be written as a DAG of SCCs (strongly connected components),  
 782 where each SCC is smaller than  $\frac{11}{12}|G|$ . In  $\text{AC}^0(\text{UL} \cap \text{co-UL})$  we can compute this DAG  
 783 and we can compute an encoding of the tuple  $(i, M, v)$  where  $M$  is an SCC in  $R'(v_i)$  and  
 784  $v$  is a vertex in  $M$ . Recursively, in parallel, we compute a DFS tree of  $M$  for each tuple  
 785  $(i, M, v)$ , using  $v$  as the root. Now we need to show how to sew together (some of) these  
 786 trees, to form a DFS tree for  $G$  with root  $r$ . Namely, for each  $i$ , for each  $M \in R'(v_i)$ ,  
 787 we will select exactly one  $v$  such that the DFS tree for  $G$  will incorporate the DFS tree  
 788 computed for  $(i, M, v)$ , as described next.
- 789 4. Given a triple  $(i, M, v)$ , let  $x_0, x_1, \dots, x_s$  be the order in which the vertices of  $M$  appear  
 790 in a DFS traversal where the root  $x_0 = v$ . If  $v$  is such that the DFS tree for  $(i, M, v)$   
 791 is incorporated into the DFS tree that we are constructing for  $G$ , then our DFS will  
 792 correspond to first following the edges from  $x_0$  that lead to other SCCs in  $R'(v_i)$ . (No  
 793 vertex reachable in this way can reach any  $x_j$ , or else that vertex would also be in  $M$ .)  
 794 And then we will move on to  $x_1$  and repeat the process, etc. Thus let  $R''_{i,M,v}(x_j) =$   
 795  $((R(x_j) \cap R'(v_i)) \setminus M) \setminus (\bigcup_{k < j} R(x_k))$ .

796 Our DFS tree for  $G$  is composed by using Algorithm 2 of Section 4, on the multigraph that  
 797 has a vertex for each SCC in the DAG of SCCs that makes up any  $R''_{i,M',v}(x_j)$ . Crucially,  
 798 the ordering on the edges that leave any node  $M''$  in this multigraph is determined by  
 799 the order in which the vertices of  $M''$  are visited in the DFS tree of  $M''$ .

800 Let us see in more detail how to use the DFS trees that we computed for each  $(i, M, v)$ ,  
 801 by considering how to process the DAG of SCCs in some  $R''_{i,M',v}(x_j)$ . Every SCC in this  
 802 DAG is reachable from  $x_j$ . We will be using Algorithm 2 from Section 4 to compute  
 803 the lexicographically-least path from  $x_j$  to any SCC  $M''$  in  $R''_{i,M',v}(x_j)$ . We can use any  
 804 ordering for the edges that leave  $x_j$  (such as the order in which the edges are presented).  
 805 For the other SCCs in the DAG, the ordering must be chosen more carefully. Let us say  
 806 that the first edge that leaves  $x_j$  that lies on some path to a node in  $M''$  is  $(x_j, y)$ ; this  
 807 edge will be in our DFS tree for  $G$ . The node  $y$  is in some SCC  $N$  in  $R''_{i,M',v}(x_j)$ . A DFS  
 808 tree  $T_{i,N,y}$  was computed for  $(i, N, y)$ ; the order in which the nodes of  $T_{i,N,y}$  are visited  
 809 imposes an order on the edges that leave  $N$  in the acyclic multigraph. That is the order  
 810 that is used, in applying Algorithm 2.

811 More generally, when executing the **while** loop in Algorithm 2, if the variable *current*  
 812 currently is set to some SCC  $M_1$ , and  $M_2$  is the first SCC adjacent to  $M_1$  (using the  
 813 ordering on the edges of  $M_1$ ) that lies on a path to  $M''$ , and this is because there is an  
 814 edge  $(w, z)$  where  $w$  is the first node in the traversal of  $M_1$  that is adjacent to any node  
 815 of  $M_2$ , then on the next pass through the **while** loop, the ordering on the edges leaving  
 816  $M_2$  is determined by the traversal order of the tree that was computed for  $(i, M_2, z)$ . Let  
 817 us denote this node  $z$  by  $v_{M_2}$ ; the edge  $(w, v_{M_2})$  will be in the DFS tree for  $G$ .

818 5. The final DFS tree for  $G$  thus consists of the path  $p = \langle r, v_1, v_2, \dots, v_k \rangle$  along with the  
 819 trees that were computed for each  $(i, M, v_M)$  (for the unique vertex  $v_M$  identified in the  
 820 preceding step).

## 821 9 Conclusions and Open Problems

822 Although we give an improved upper bound for the problem of finding DFS trees in planar  
 823 digraphs, we do not completely resolve the question of this problem's complexity. Computing  
 824 DFS trees in planar graphs is clearly at least as hard as the reachability problem in planar  
 825 graphs, and we know of no better lower bound for this problem.

826 In any class of graphs, computing *breadth*-first search trees is no harder than computing  
 827 distance in the graph. Reachability always reduces to the problem of computing distance,  
 828 but the complexity of these problems can differ. (Reachability in undirected graphs lies in  
 829 logspace [30], whereas computing distance in undirected graphs is complete for NL [32].) For  
 830 directed planar graphs, we have noted that both these problems lie in  $\text{UL} \cap \text{co-UL}$  (Theorem 1).  
 831 Thus we can also ask whether breadth-first search trees are easier to compute in planar  
 832 directed graphs, than DFS trees.

833 Note that, for *undirected* planar graphs, both breadth-first and depth-first search trees  
 834 reduce to computing distance in *directed* planar graphs [4]. We know of no better lower bound  
 835 for computing DFS trees in undirected planar graphs than the corresponding reachability  
 836 problem.

837 Of course, the outstanding open question in this area is to resolve the complexity of  
 838 computing DFS trees in general (directed or undirected) graphs. The  $\text{RNC}^7$  algorithm of [1]  
 839 is unlikely to be optimal. It would be of interest to improve the complexity even in terms of  
 840 nonuniform circuit complexity classes.

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