

In this lecture, we address the question: Does *SAT* has small (i.e., polynomial-sized) circuits? In other terms, is $NP \subseteq P/poly$?

We do not have a definite answer to this question. In relation to this question, we have instead the following theorem:

Theorem : $NP \subseteq P/poly \implies PH = \Sigma_2^P$

Proof:

Facts

(i) *SAT* is **self-reducible**. *Explanation*: There are many definitions of self-reducibility. One of them, is the one that follows:

Definition: A is self-reducible if $A \in P^A$, via an oracle TM that on input x , ask queries only about strings $y < x$ (lexicographic order).

SAT satisfies this notion of self-reducibility. We give the following algorithm as a proof of this statement:

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on input  $\phi$ 
  if  $\phi$  has NO variables
    then return 1 if  $\phi$  evaluates to 1
    and return 0 if  $\phi$  evaluates to 0
  else
    let  $x$  be a variable in  $\phi$ 
    let  $\phi_1$  be the result of replacing  $x$  by 1
    let  $\phi_0$  be the result of replacing  $x$  by 0
    if  $\phi_1 \in SAT$ , then return 1
    if  $\phi_0 \in SAT$ , then return 1
    else return 0  $\square$ 
```

We will use this fact (i) to give a Σ_2^P algorithm for Π_2^P ($= co - NP^{NP}$). That is, let $A \in \Pi_2^P$ iff A is recognized by a *co-NP* machine M with oracle *SAT*. We will show : $A \in \Sigma_2^P$. Below, is the proof of this last statement:

Proof:

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on input  $x$  (with  $|x| = n$ )
(note that  $M$  asks queries only of inputs of  $length \leq |x|^k$  for some  $k$ .)
  existentially guess a sequence of circuits  $C_1, C_2, \dots, C_{n^k}$ 
  using universal moves, do the following:
  1) Simulate  $M^{SAT}(x)$  (answering oracle queries using  $C_1, C_2, \dots, C_{n^k}$ )
  2) Verify that each of the circuits  $C_1, C_2, \dots, C_{n^k}$  is computing SAT correctly.
    That is for all strings  $\phi$  with  $|\phi| \leq n^k$ ,  $C_\phi(\phi) = 1$  , iff :
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$[\phi$ has NO variables and evaluates to TRUE] OR
 $[(C_{|\phi_0|}(\phi_0) = 1 \text{ OR } C_{|\phi_1|}(\phi_1) = 1)]$. \square

What remains to prove our theorem is this statement:

$$\Pi_2^P = \Sigma_2^P \implies PH = \Sigma_2^P$$

Proof: (by induction)

Let $A \in \Sigma_3^P$ then A is recognized by an ATM that begins in existential states then goes to universal states and the goes back to existential states.

Note that :

$B = \{ (C, x) : C \text{ is a universal configuration of } M \text{ that is accepting on input } x \}$; then:
 $B \in \Pi_2^P \subseteq \Sigma_2^P$.

Here is the Σ_2^P algorithm for A :

on input x
 existentially simulate $M(x)$ until it reaches a universal configuration C , then use
 the Σ_2^P algorithm to see if $(C, x) \in B$.

(Inductive part of the proof) :

We have $\Sigma_3^P \subseteq \Sigma_2^P$. Assume $\Sigma_i^P \subseteq \Sigma_2^P$. We will show: $\Sigma_{i+1}^P \subseteq \Sigma_2^P$.

$$\Sigma_{i+1}^P = NP^{\Sigma_i^P} \subseteq NP^{\Sigma_2^P} = \Sigma_3^P = \Sigma_2^P. \square$$